

# CS4102 Algorithms

Spring 2020

## Today's Keywords

- Reductions
- Bipartite Matching
- Vertex Cover
- Independent Set
- Decision problems, verification problems
- NP, NP-Hard, NP-Complete

## CLRS Readings

- Chapter 34

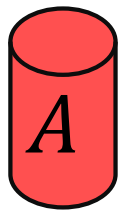
# Reductions

- Algorithm technique of supreme ultimate power
- Convert instance of problem A to an instance of Problem B
- Convert solution of problem B back to a solution of problem A
  
- Why? (You might be asking. 😊)
  - As you've seen, might be a useful way to develop solution to A
  - Also, lower-bounds proofs
    - We can't find polynomial solutions to some problems.  
We want to know if they are really exponential!

# MacGyver's Reduction

Problem we don't know how to solve

Problem we do know how to solve

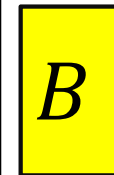
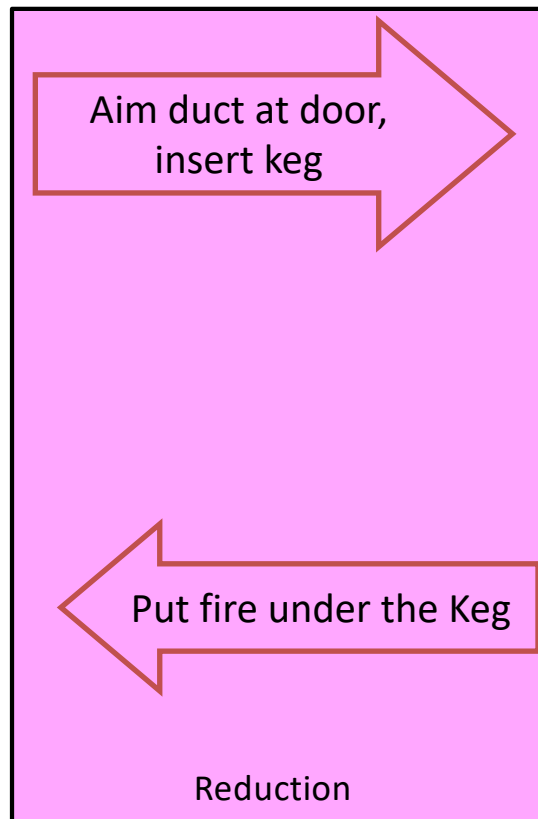


Opening a door



Solution for *A*

Keg cannon  
battering ram



Lighting a fire



How?

Solution for *B*

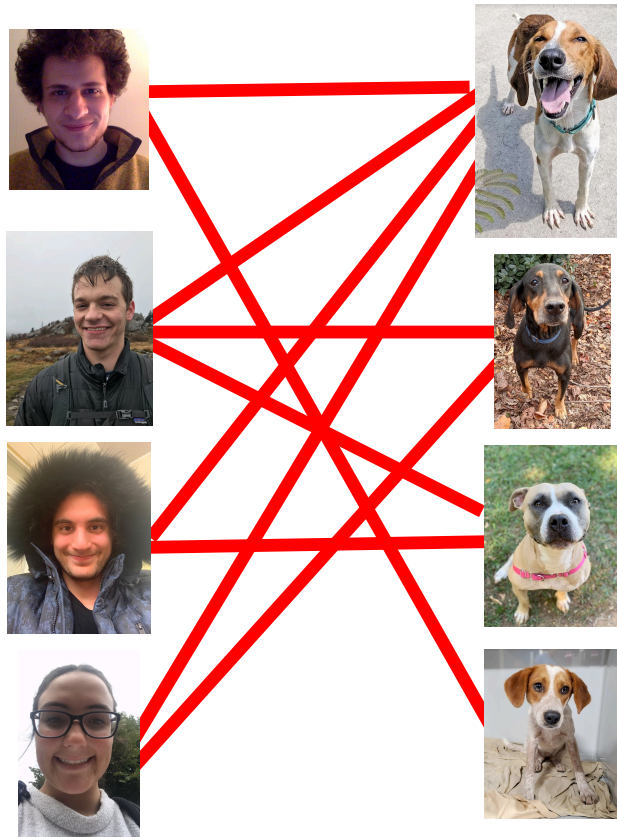
Alcohol, wood,  
matches



# Maximum Bipartite Matching

Dog Lovers

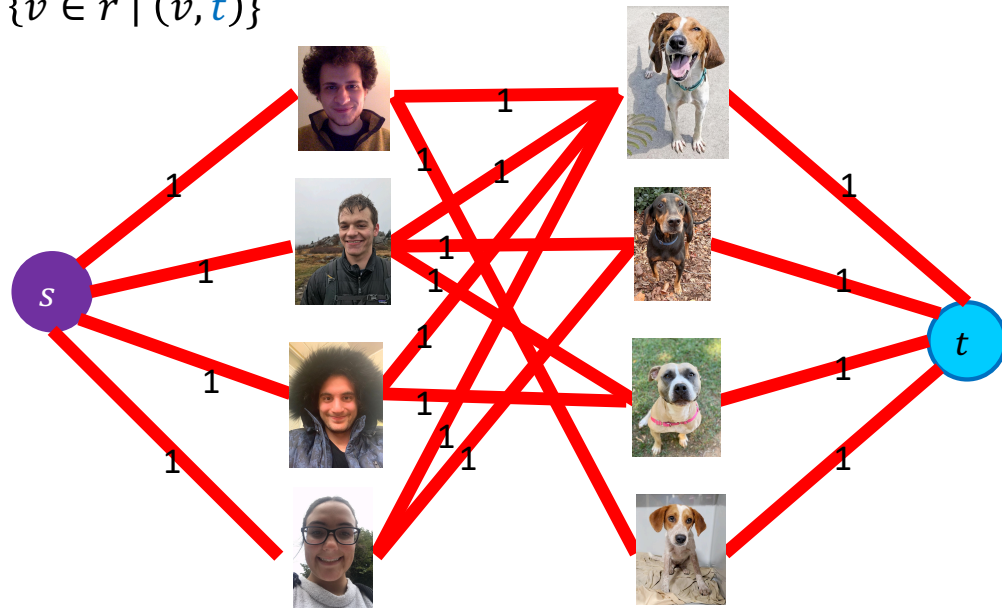
Dogs



# Maximum Bipartite Matching Using Max Flow

Make  $G = (L, R, E)$  a flow network  $G' = (V', E')$  by:

- Adding in a **source** and **sink** to the set of nodes:
  - $V' = L \cup R \cup \{s, t\}$
- Adding an edge from **source** to  $L$  and from  $R$  to **sink**:
  - $E' = E \cup \{u \in L \mid (s, u)\} \cup \{v \in r \mid (v, t)\}$
- Make each edge capacity 1:
  - $\forall e \in E', c(e) = 1$



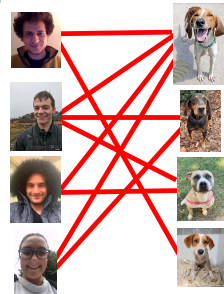
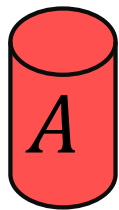
Remember: need to show

1. How to map instance of MBM to MF (and back) - construction
2. A valid solution to MF instance is a valid solution to MBM instance

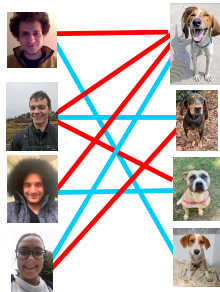
# Bipartite Matching Reduction

Problem we don't know how to solve

Bipartite Matching

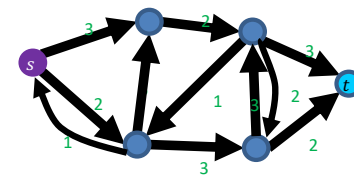


Solution for A



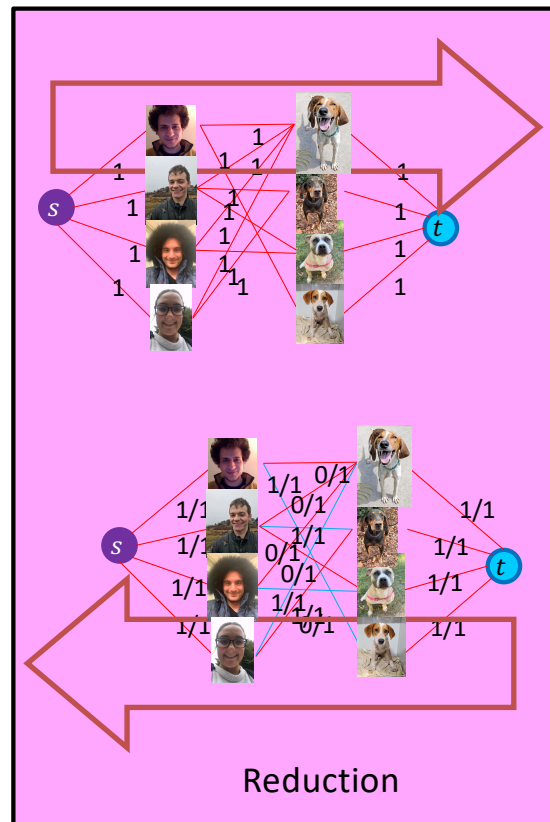
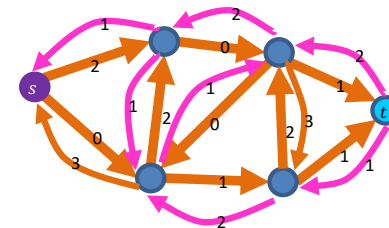
Problem we do know how to solve

Max Flow



Ford Fulkerson

Solution for B



# In General: Reduction

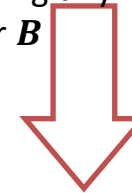
Problem we don't know how to solve



Problem we do know how to solve



Using any Algorithm  
for  $B$



Solution for  $B$



Map Instances of problem  $A$  to  
Instances of  $B$



Map Solutions of problem  $B$  to  
Solutions of  $A$



Reduction

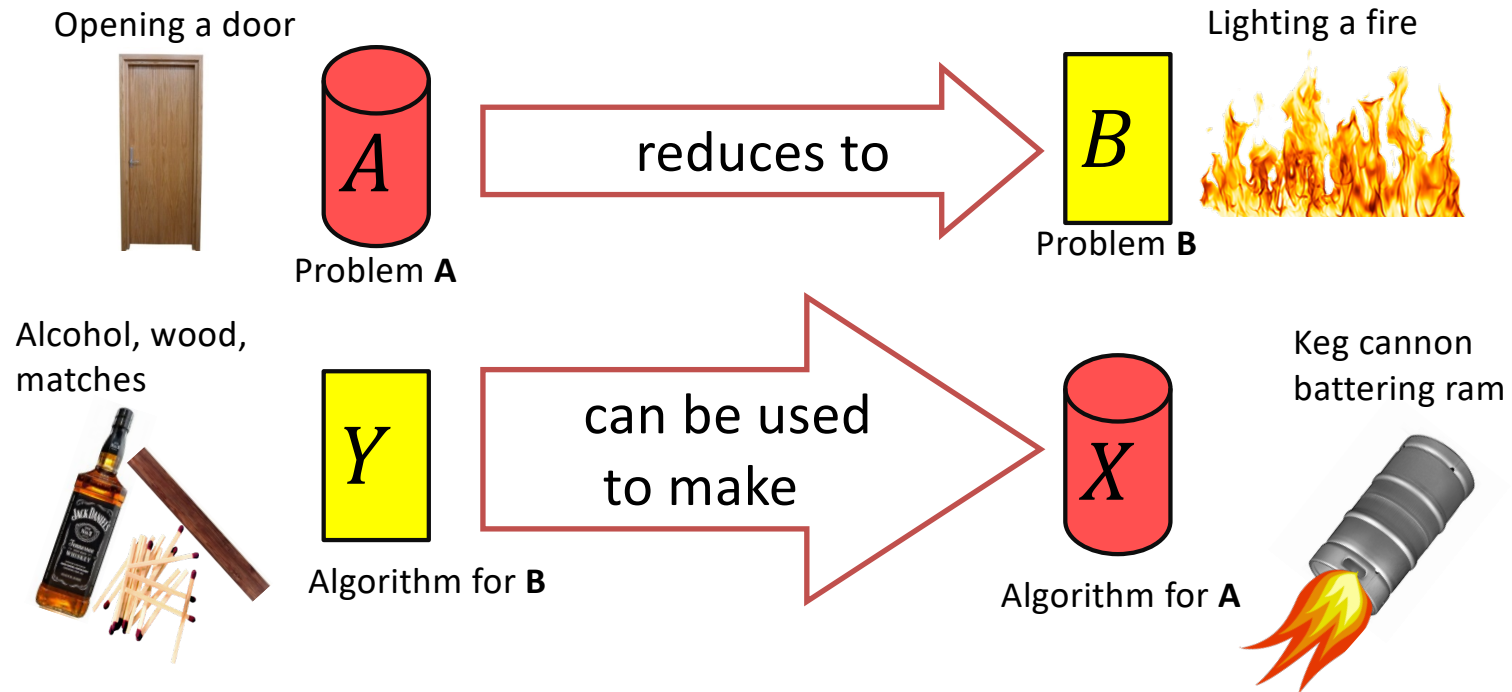
Solution for  $A$



Remember: need to show

1. How to map instance of  $A$  to  $B$   
(and back)
2. Why solution to  $B$  was a valid  
solution to  $A$

# Worst-case lower-bound Proofs



**$A$  is not a harder problem than  $B$**

$$A \leq B$$

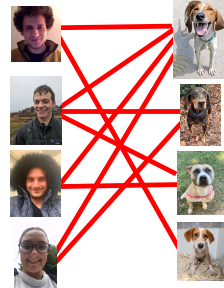
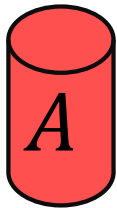
The name "reduces" is confusing: it is in the *opposite* direction of the making



# Bipartite Matching Reduction

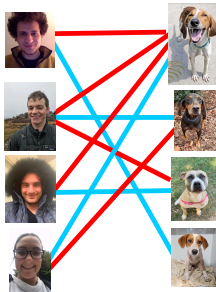
Problem we don't know how to solve

Bipartite Matching



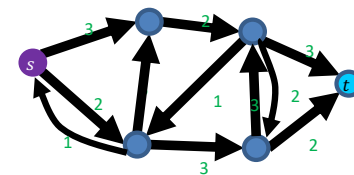
Then this is fast

Solution for A



Problem we do know how to solve

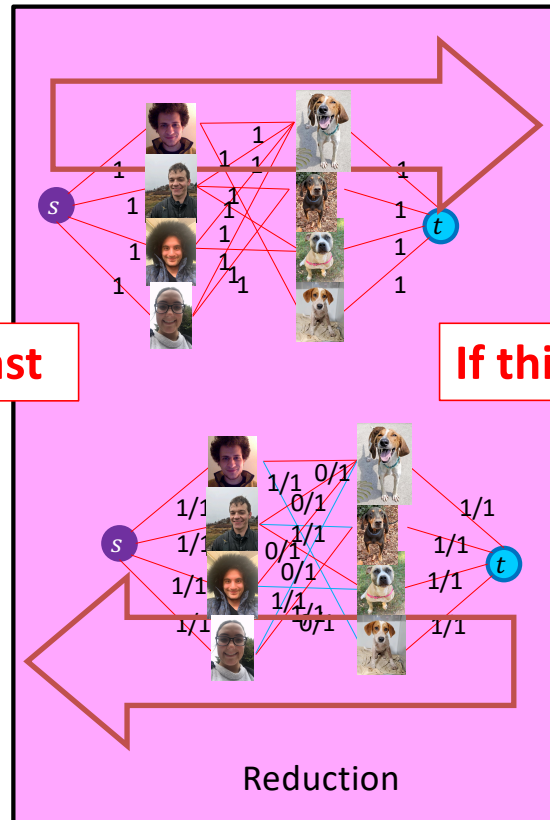
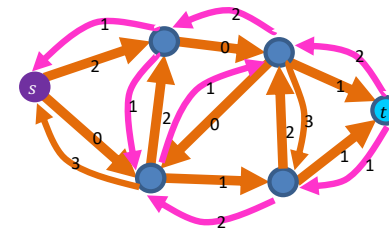
Max Flow



Ford Fulkerson

If this is fast

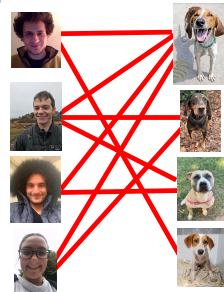
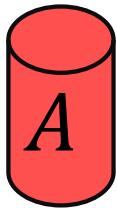
Solution for B



# Bipartite Matching Reduction

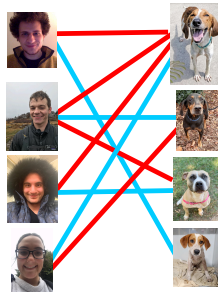
Problem we don't know how to solve

Bipartite Matching



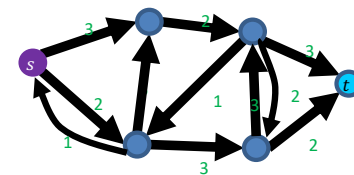
If this is slow

Solution for A



Problem we do know how to solve

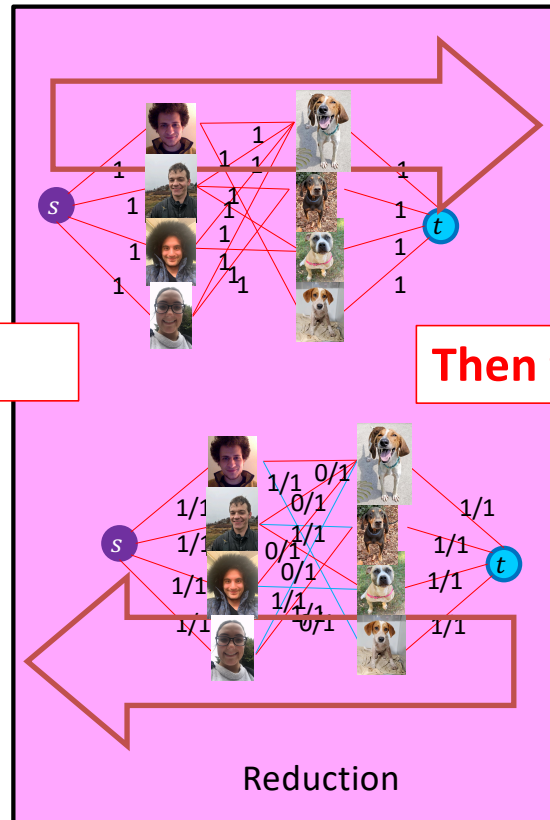
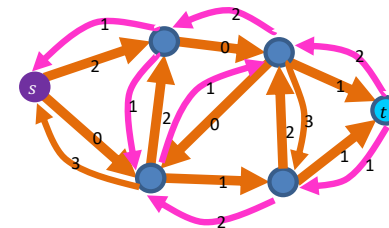
Max Flow



Ford Fulkerson

Then this is slow

Solution for B



# Proof of Lower Bound by Reduction

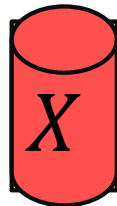
To Show:  $Y$  is slow



1. We know  $X$  is slow (by a proof)  
(e.g.,  $X$  = some way to open the door)



2. Assume  $Y$  is quick [toward contradiction]  
( $Y$  = some way to light a fire)



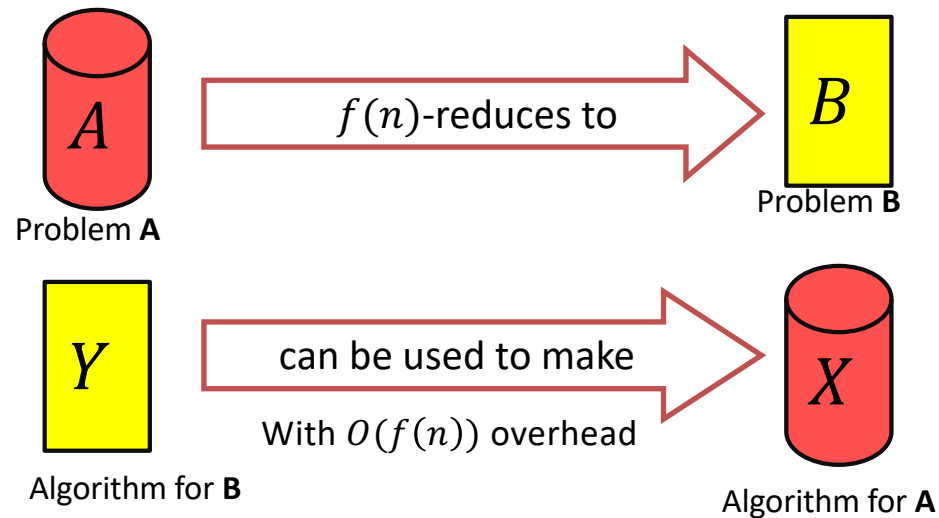
3. Show how to use  $Y$  to perform  $X$  quickly

4.  $X$  is slow, but  $Y$  could be used to perform  $X$  quickly  
conclusion:  $Y$  must not actually be quick

# Same Again, Different Explanation

- Say we know these two things about problems A and B:
  - First,  $A \leq B$
  - Second, we've proven solving A is "slow" (using some lower-bounds proof)
- What can we say about B?
  - Solving B must be "slow". Why?
- Argument:
  - Assume solving B could be "fast"
  - We can solve A using B
  - That's a fast solution for A
  - But one of our givens: it's been proved A has no fast solutions. Contradiction!
  - Therefore assumption that B is "fast" is wrong. Solving B must be "slow".
    - Remember we said: A is no harder than B
- **Big point:** We can use known "slow" problems to show other problems are "slow"

# Reduction Proof Notation



**$A$  is not a **harder** problem than  $B$**

$$A \leq B$$

**If  $A$  requires time  $\Omega(f(n))$  time then  $B$  also requires  $\Omega(f(n))$  time**

$$A \leq_{f(n)} B$$

Or we could have solved  $A$  faster using  $B$ 's solver!

# Peek Ahead to Where We're Going

- We're going to start looking at a set of *intractable* problems
  - No known polynomial solutions have been found
  - But none have proven to require exponential time either!
- We've found polynomial reductions between a group of these (called NP-C), and we'll see that
  - None of them are "harder" than any of the others.
  - If one has a polynomial solution, they all do.
  - If there's an exponential lower-bound proof for one, all are exponential.
  - And there's more to say about these ideas later!
- Important note about discussions that follow:
  - Not showing how to solve any of these problems directly.
  - Only showing how to reduce on problem to another!

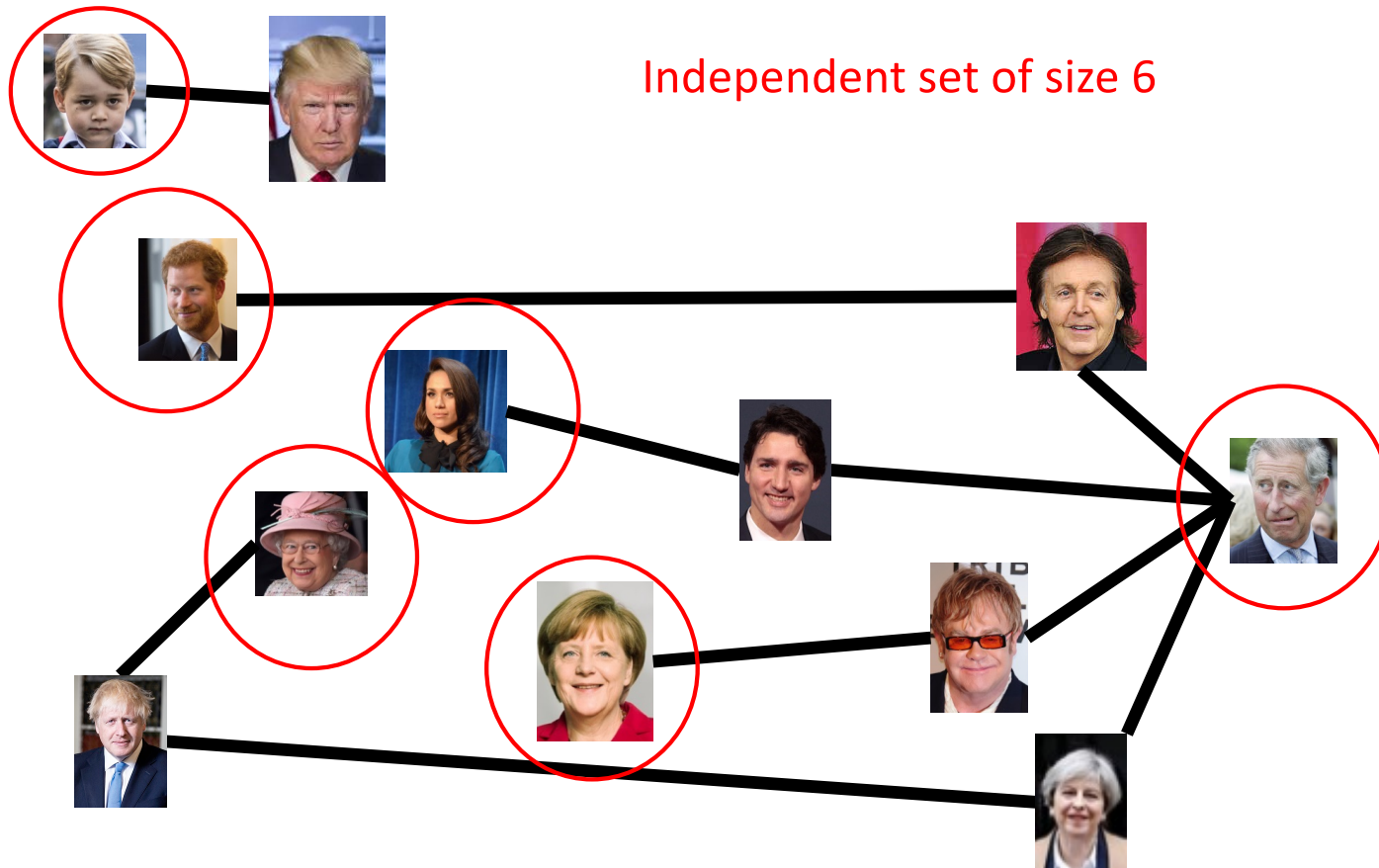


# Maximum Independent Set

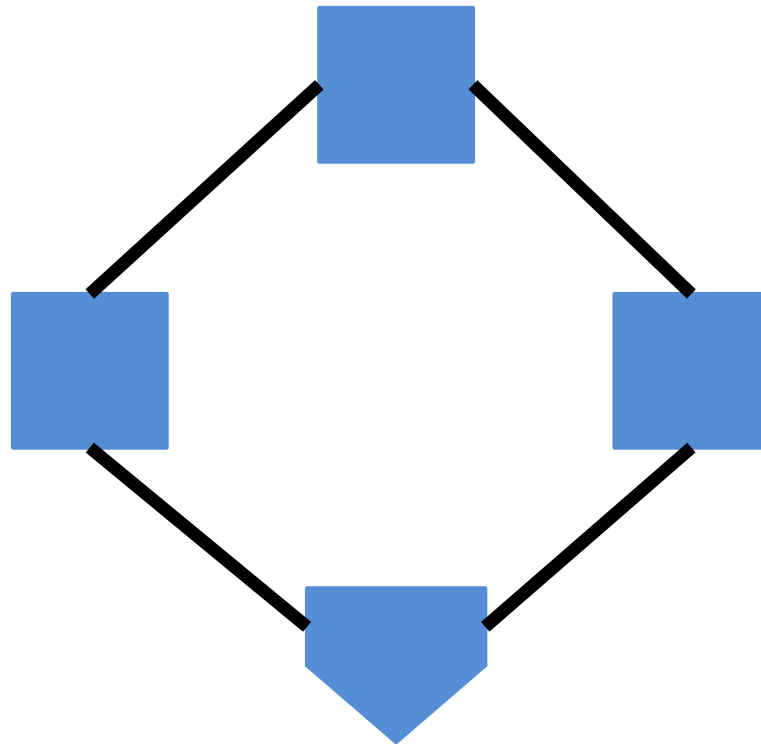
- Independent set:  $S \subseteq V$  is an independent set if no two nodes in  $S$  share an edge
- Maximum Independent Set Problem: Given a graph  $G = (V, E)$  find the maximum independent set  $S$



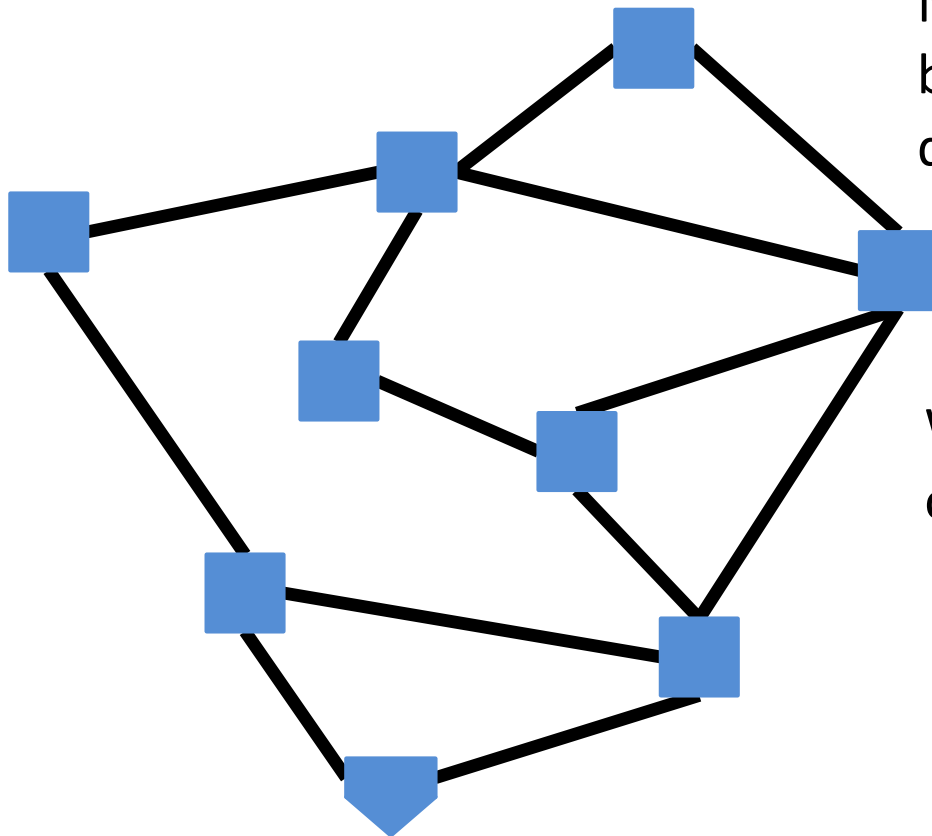
# Example



# Generalized Baseball



# Generalized Baseball



Need to place defenders on bases such that every edge is defended

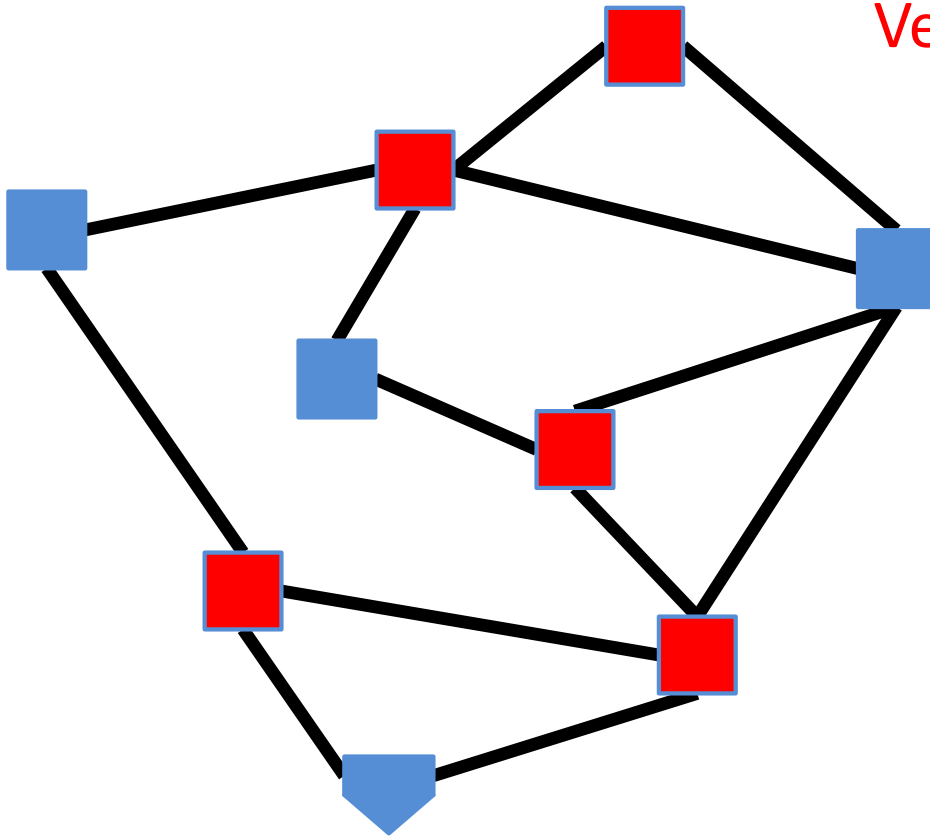
What's the fewest number of defenders needed?

# Minimum Vertex Cover

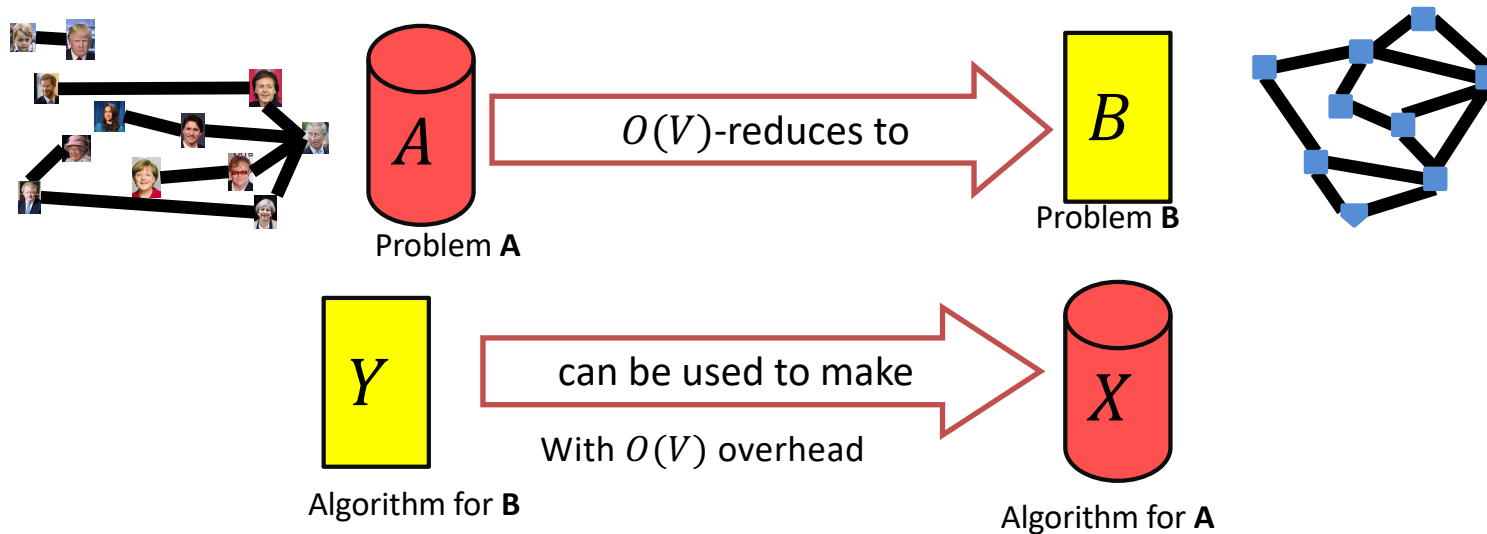
- Vertex Cover:  $C \subseteq V$  is a vertex cover if every edge in  $E$  has one of its endpoints in  $C$
- Minimum Vertex Cover: Given a graph  $G = (V, E)$  find the minimum vertex cover  $C$

# Example

Vertex cover of size 5

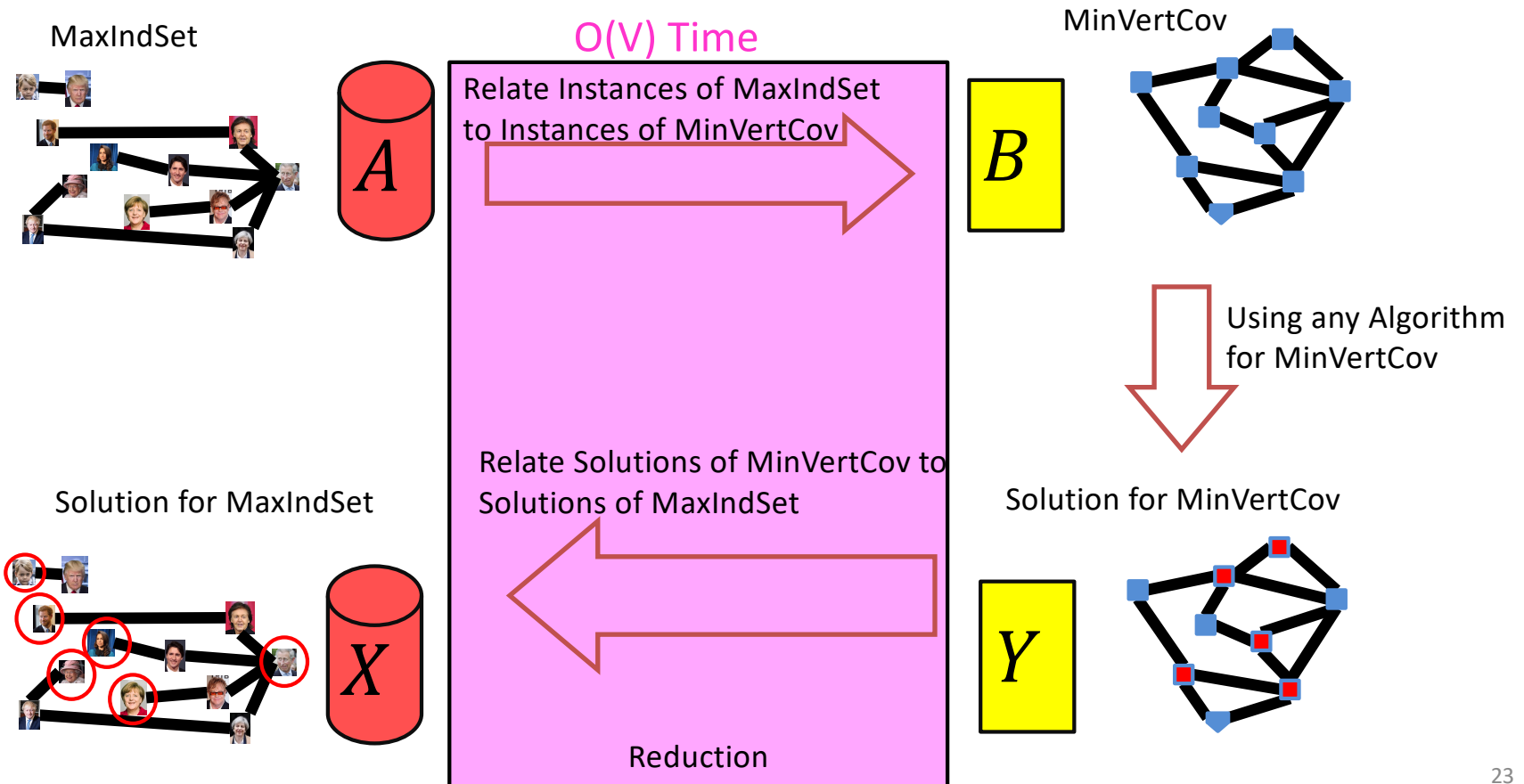


# MaxIndSet $\leq_V$ MinVertCov



If  $A$  requires time  $\Omega(f(n))$  time then  $B$  also requires  $\Omega(f(n))$  time  
 $A \leq_V B$

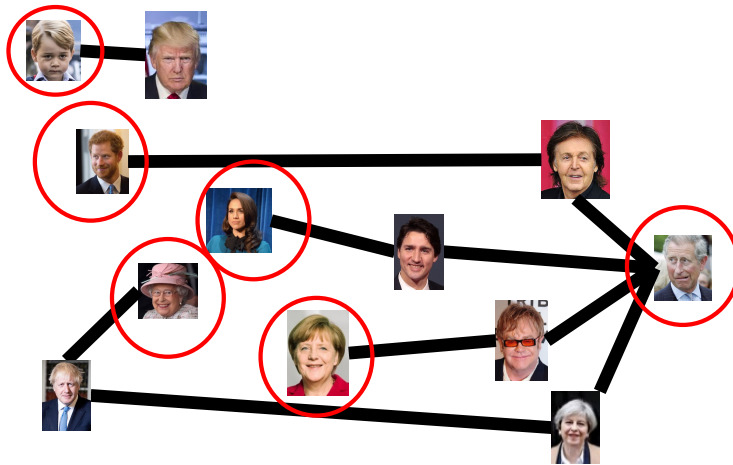
# We need to build this Reduction



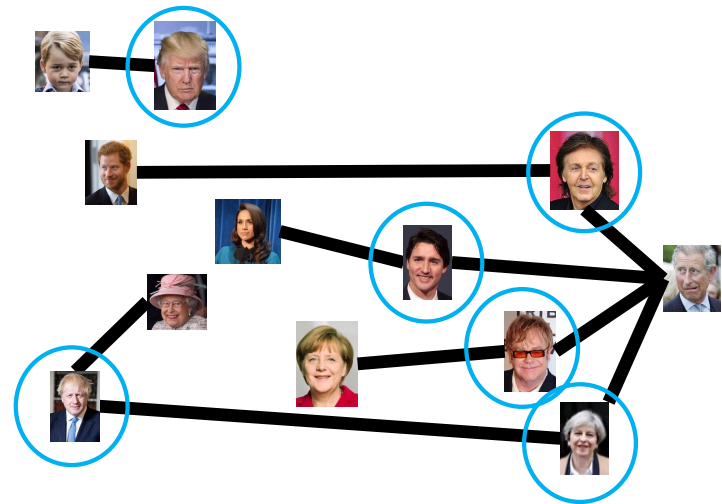
# Reduction Idea

$S$  is an independent set of  $G$  iff  $V - S$  is a vertex cover of  $G$

Independent Set



Vertex Cover

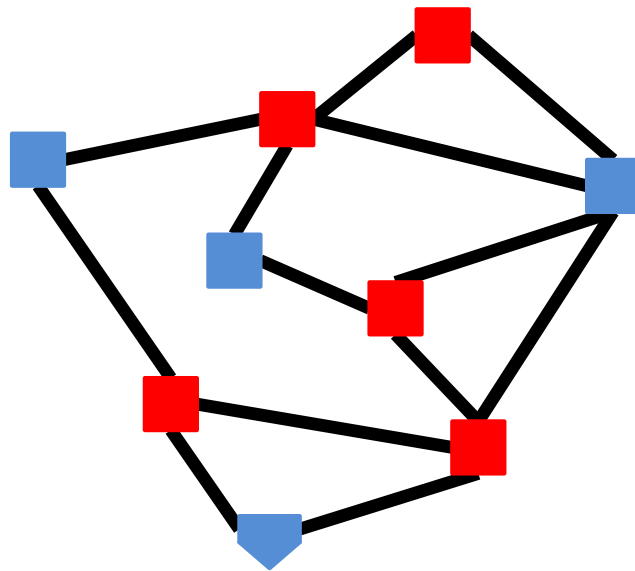




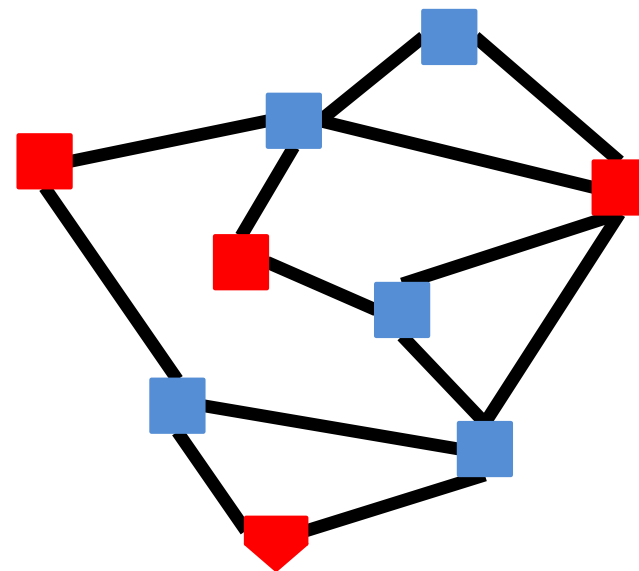
# Reduction Idea

$S$  is an independent set of  $G$  iff  $V - S$  is a vertex cover of  $G$

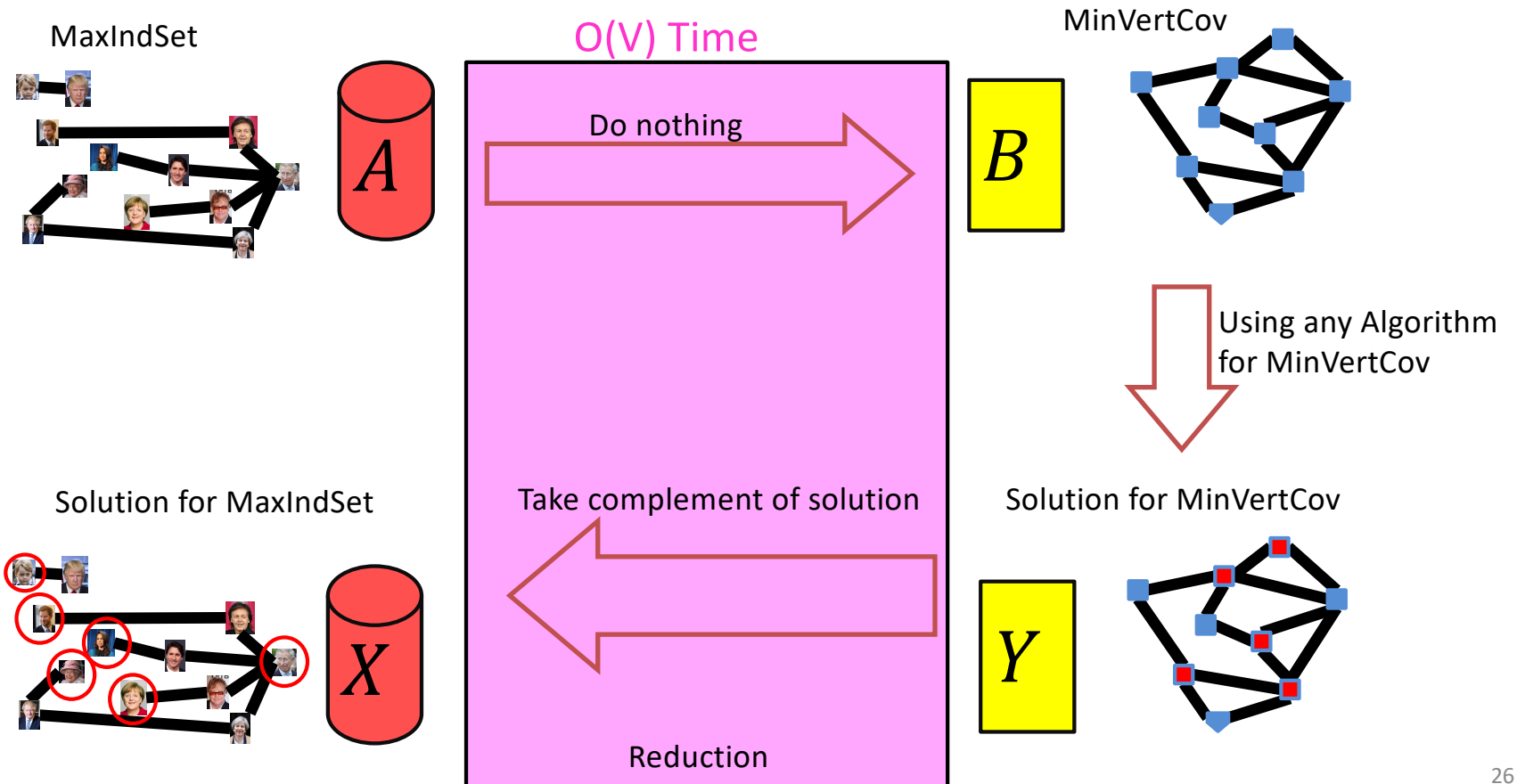
Vertex Cover



Independent Set



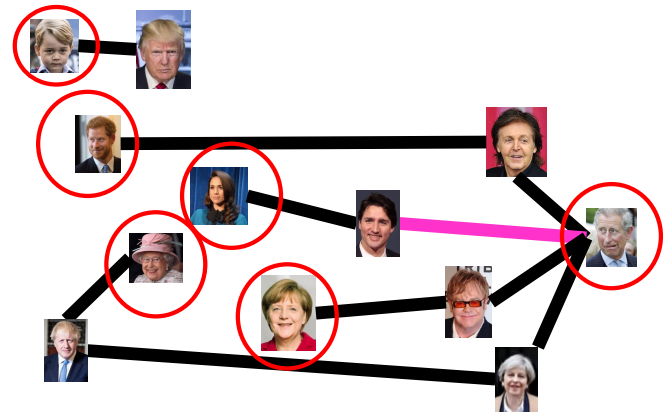
# MaxIndSet $V$ -Time Reducible to MinVertCov



Proof:  $\Rightarrow$

$S$  is an independent set of  $G$  iff  $V - S$  is a vertex cover of  $G$

Let  $S$  be an independent set



Consider any edge  $(x, y) \in E$

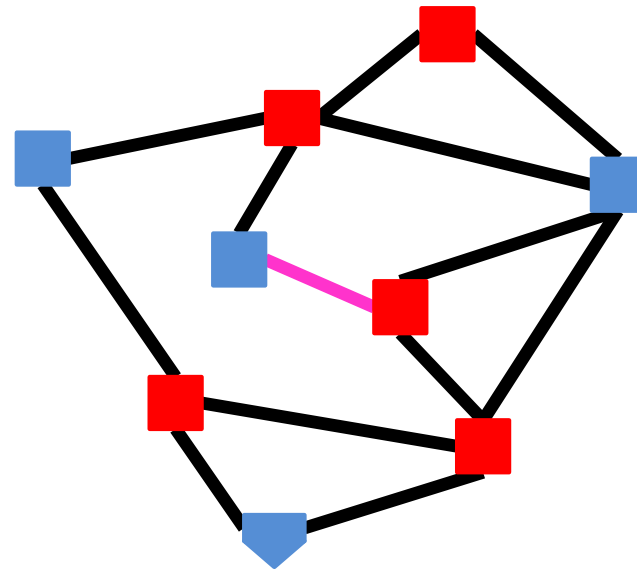
If  $x \in S$  then  $y \notin S$ , because otherwise  $S$  would not be an independent set

Therefore  $y \in V - S$ , so edge  $(x, y)$  is covered by  $V - S$

Proof:  $\Leftarrow$

$S$  is an independent set of  $G$  iff  $V - S$  is a vertex cover of  $G$

Let  $V - S$  be a vertex cover



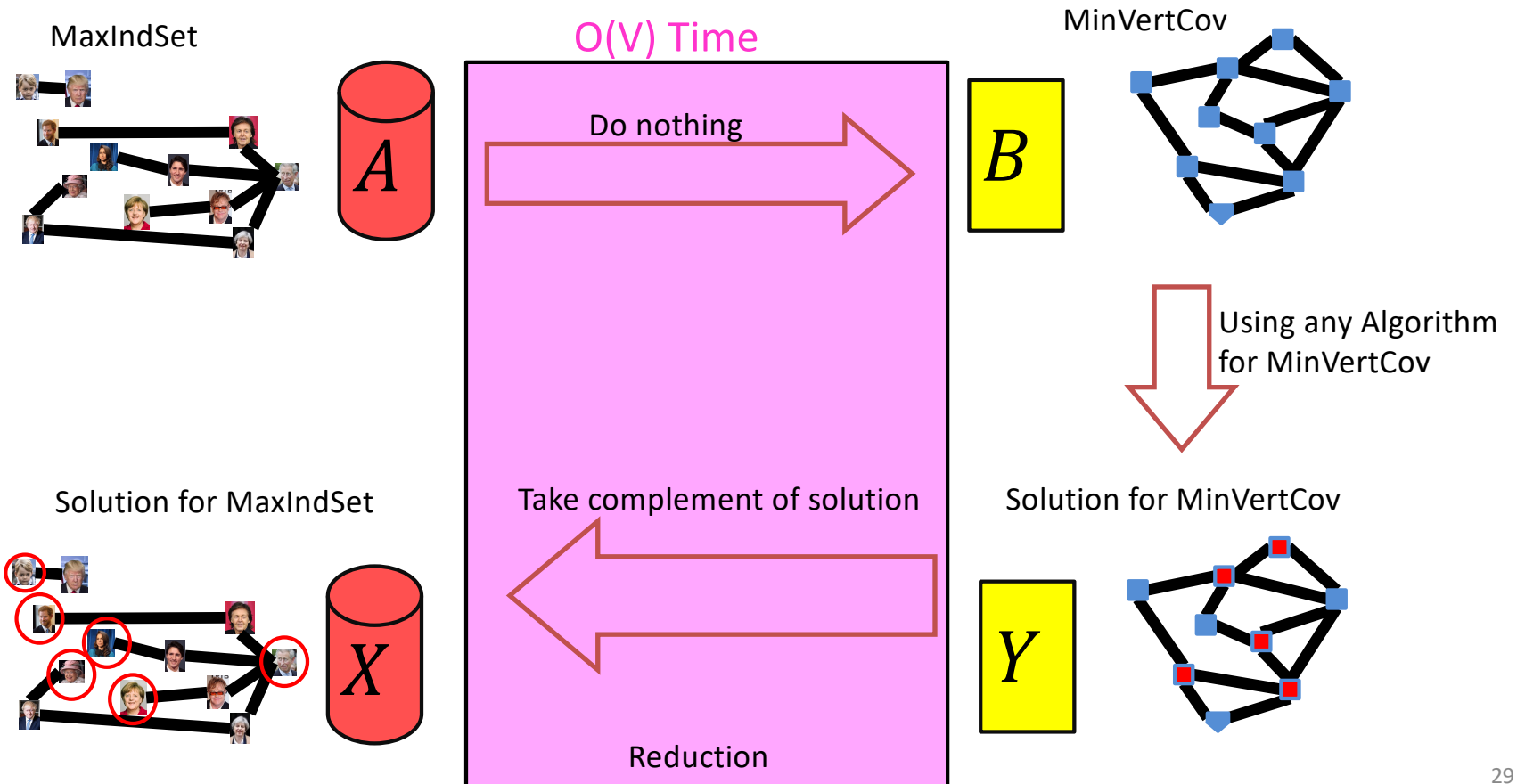
Consider any edge  $(x, y) \in E$

At least one of  $x$  and  $y$  belong to  $V - S$ , because  $V - S$  is a vertex cover

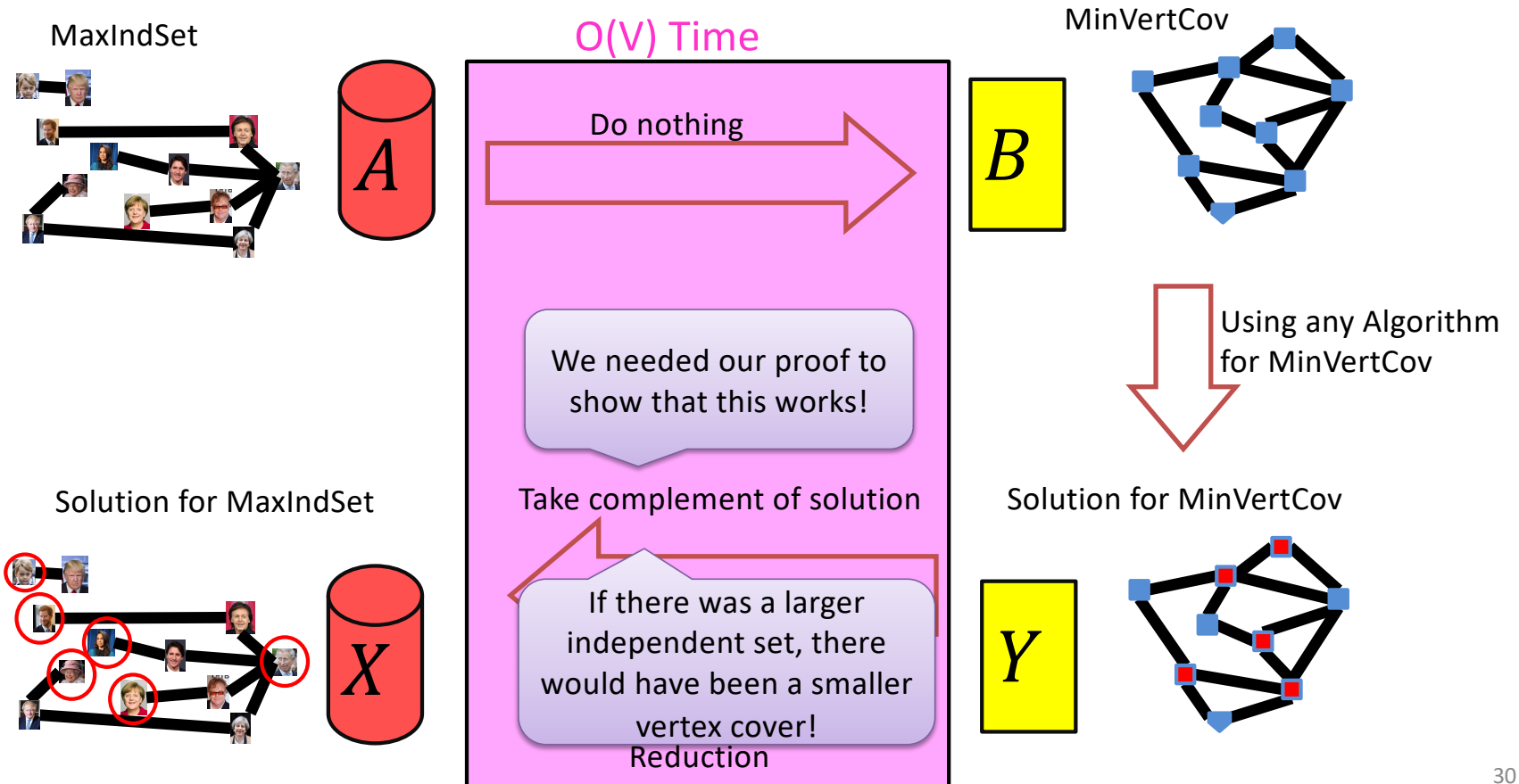
Therefore  $x$  and  $y$  are not both in  $S$ ,

No edge has both end-nodes in  $S$ , thus  $S$  is an independent set

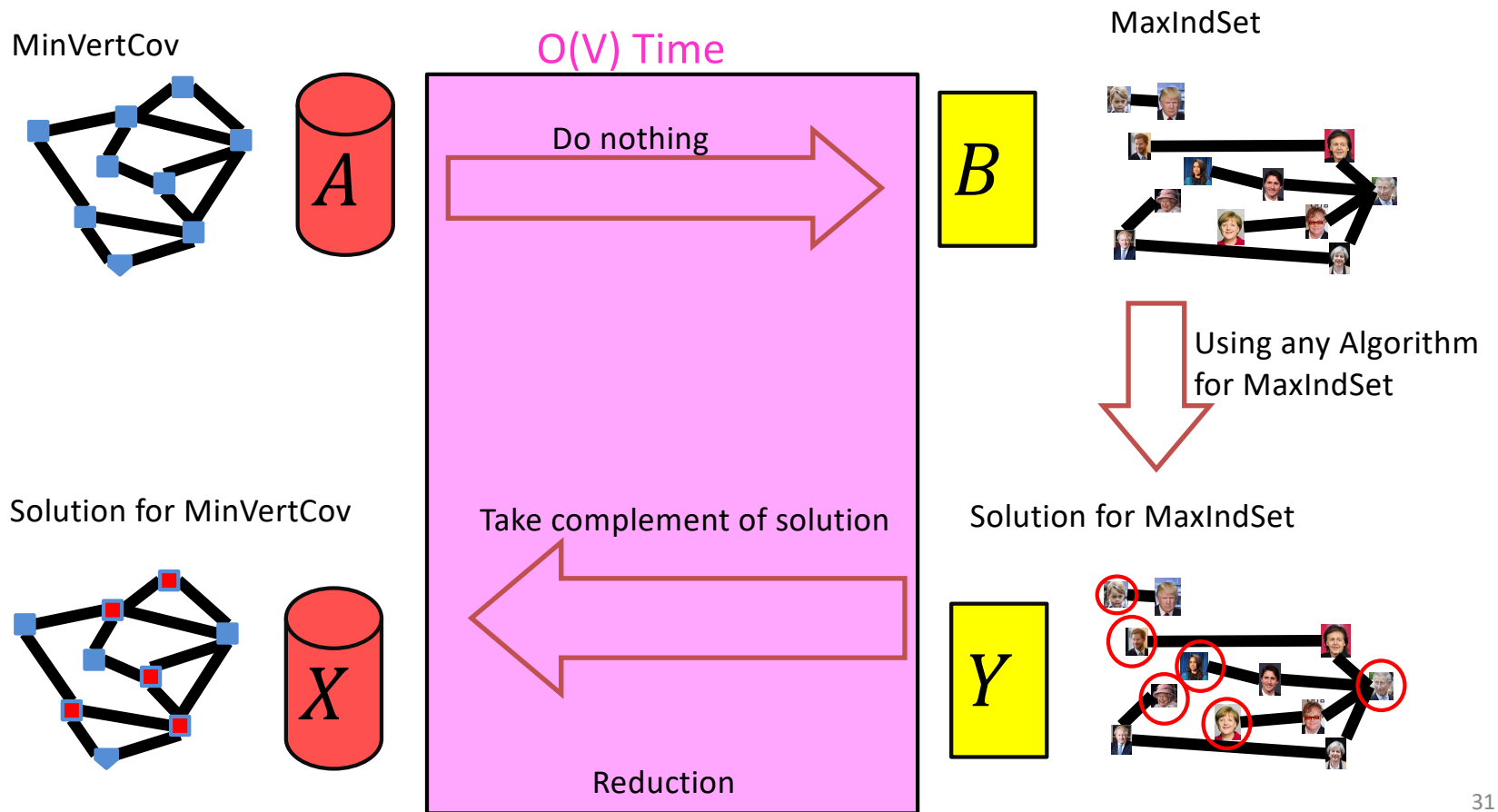
# MaxIndSet $V$ -Time Reducible to MinVertCov



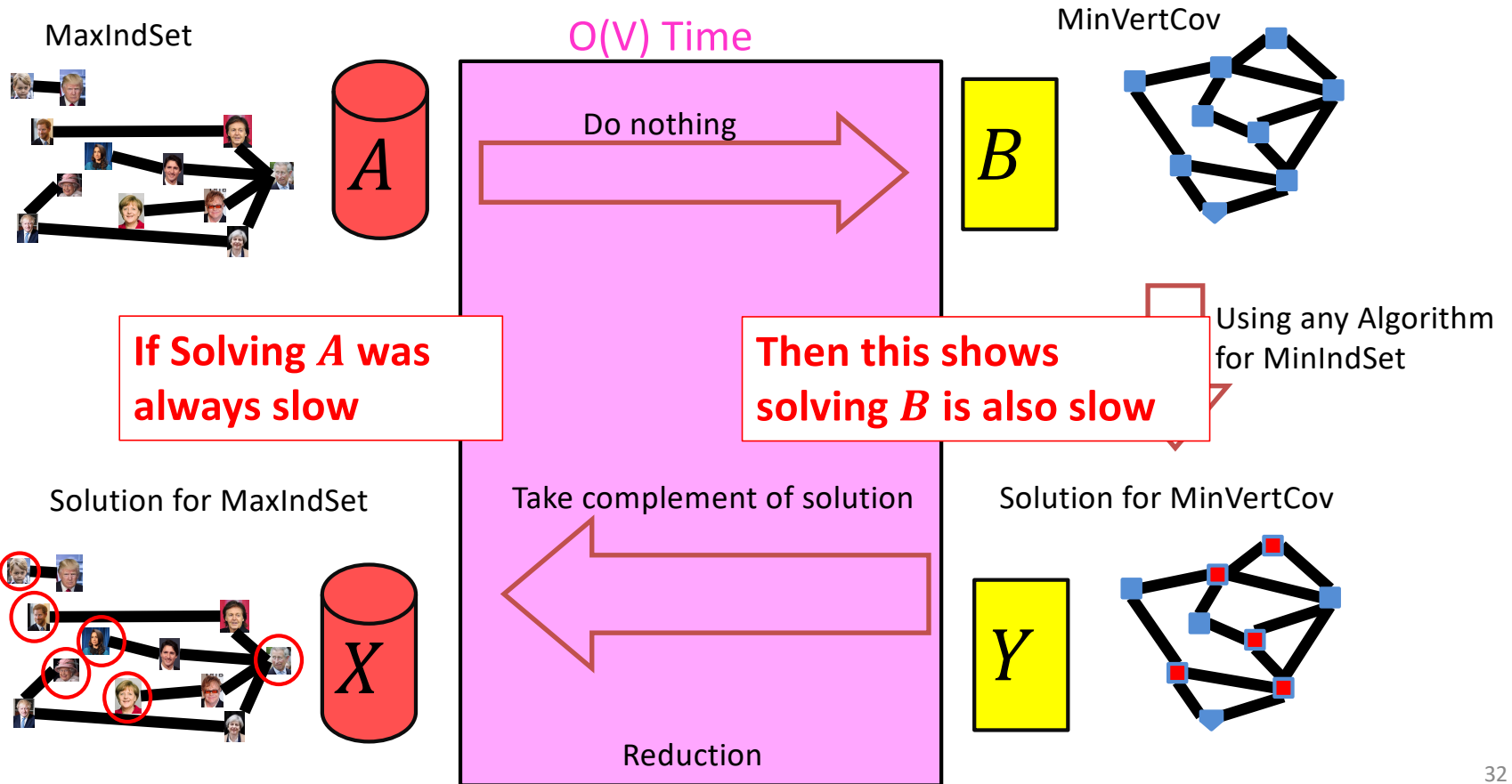
# MaxIndSet $V$ -Time Reducible to MinVertCov



# MinVertCov $V$ -Time Reducible to MinIndSet

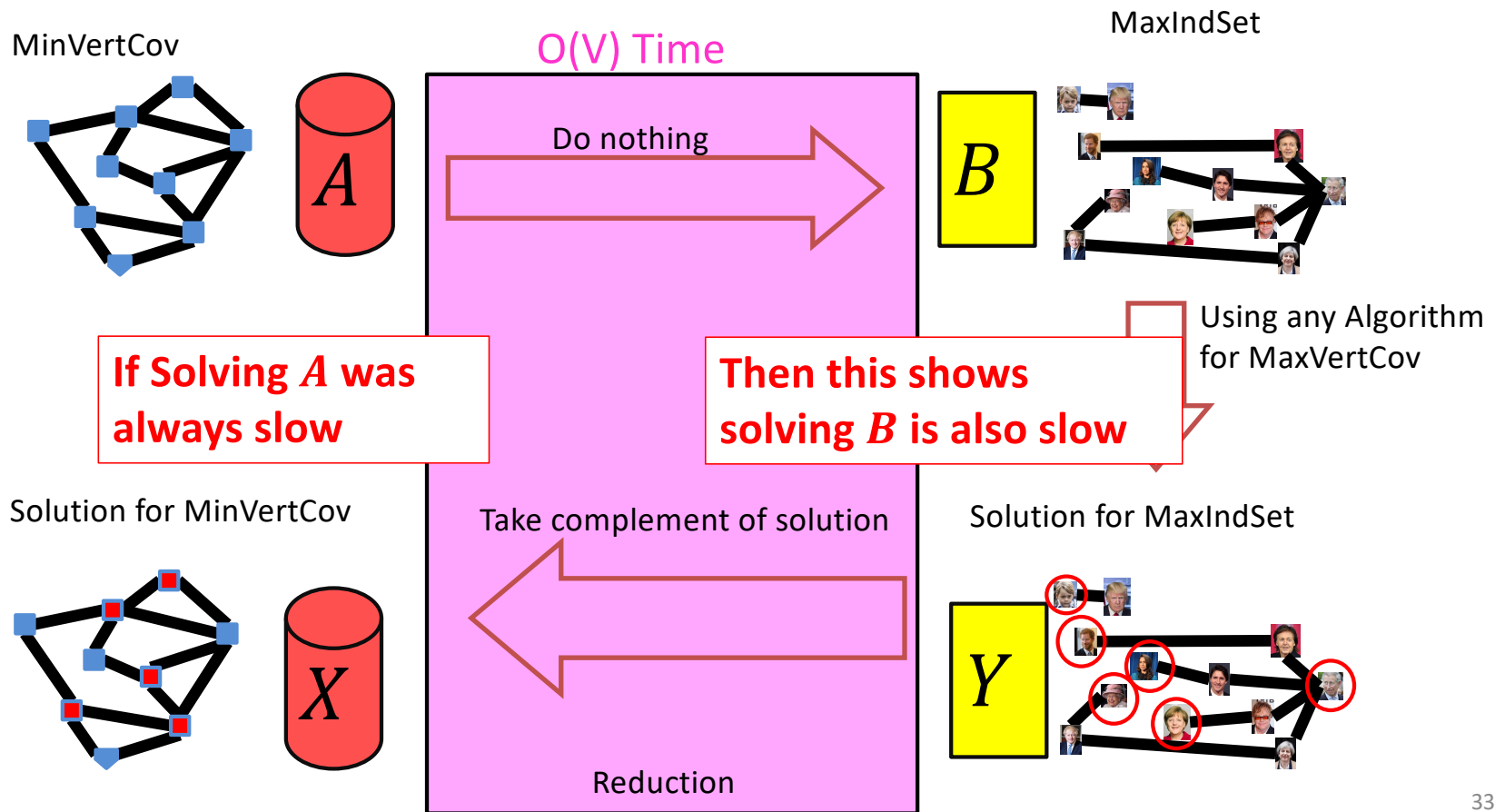


# Corollary





# Corollary



# Conclusion

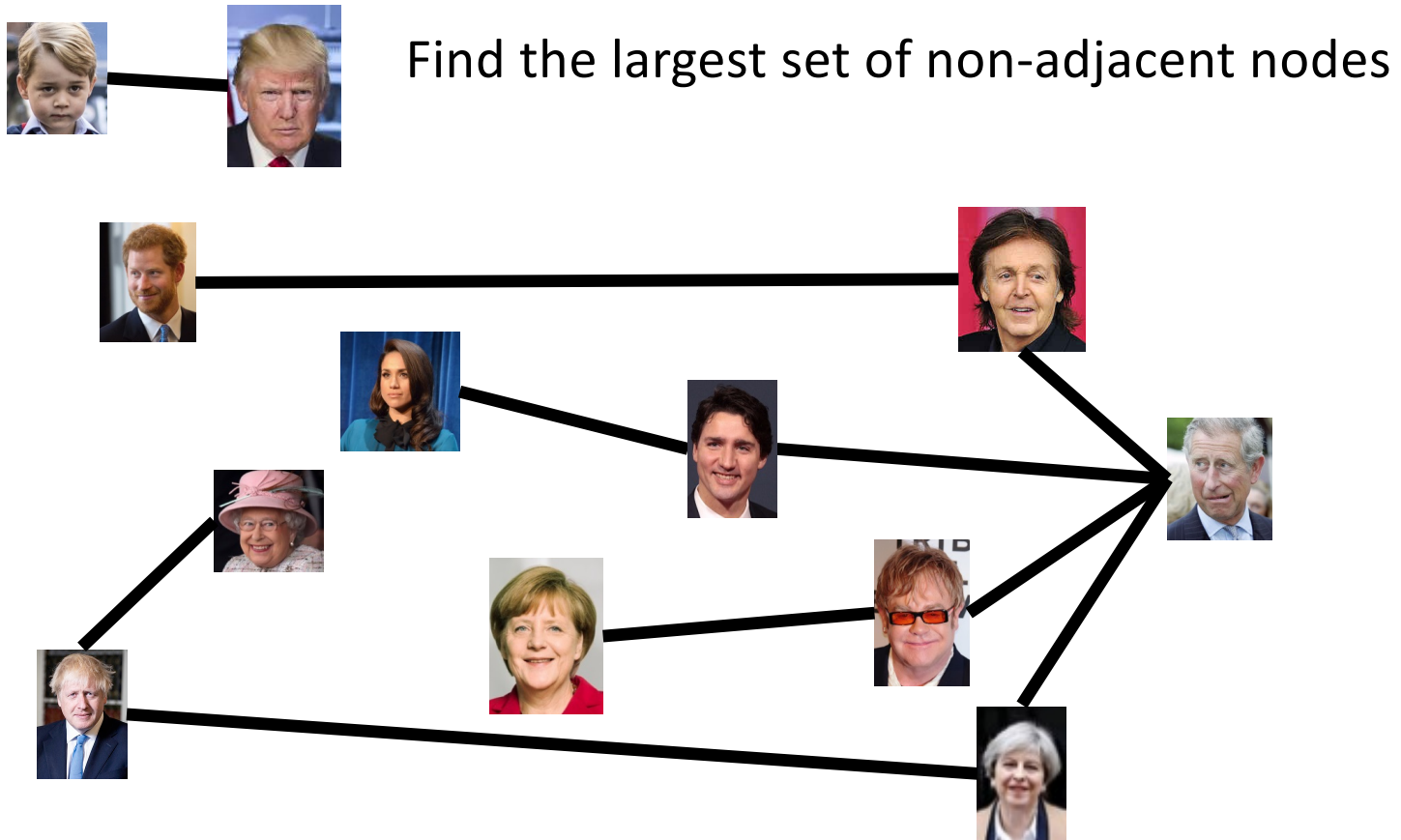
- MaxIndSet and MinVertCov are either both fast, or both slow
  - Spoiler alert: We don't know which!
    - (But we think they're both slow)
  - Both problems are NP-Complete

Mid-class warm up:  
What is a Decision Problem?

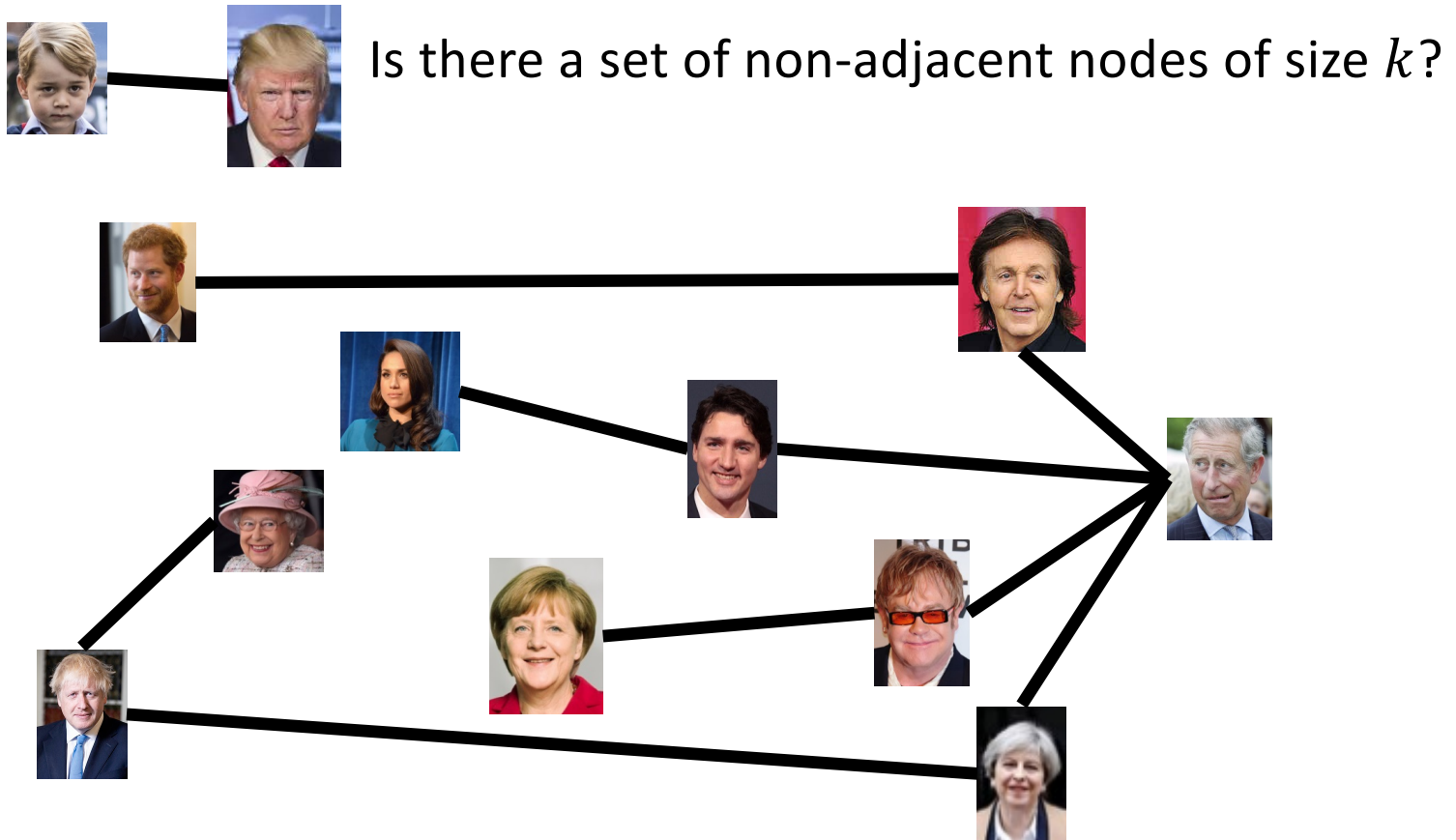
Your response is maybe:  
Groan! Do we really need to know?  
Why do we need to care?

Turns out that the math and theory  
on NP-complete problems starts with  
decision problems.

# Max Independent Set



# $k$ Independent Set



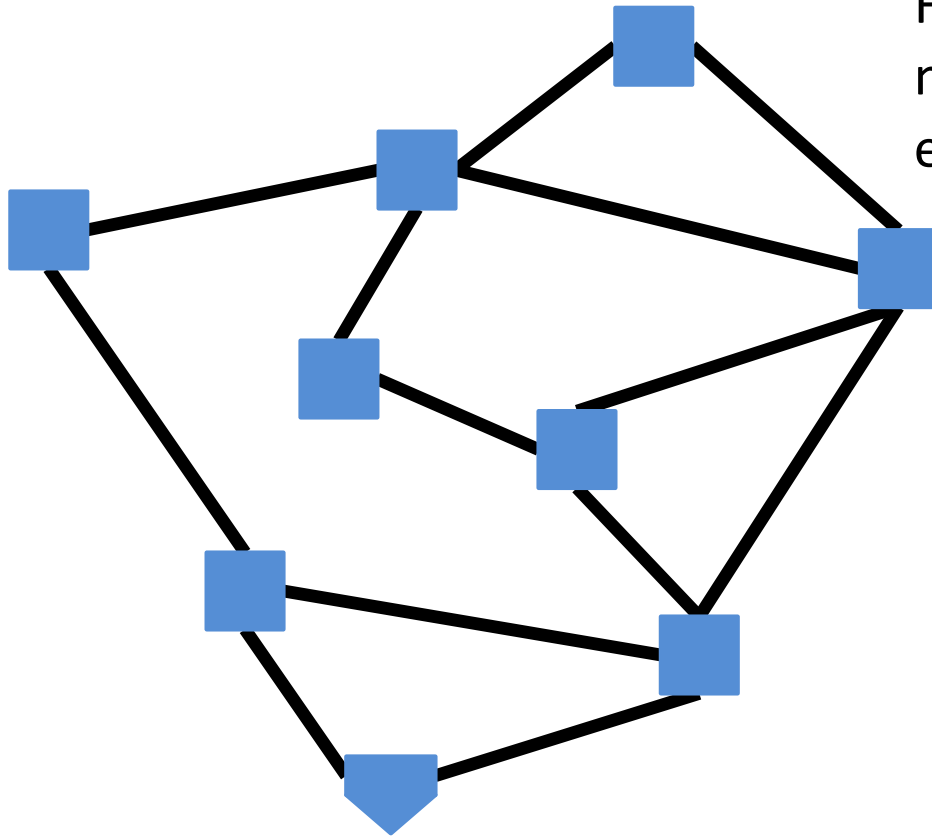
# Maximum Independent Set

- Independent set:  $S \subseteq V$  is an independent set if no two nodes in  $S$  share an edge
- Maximum Independent Set Problem: Given a graph  $G = (V, E)$  find the maximum independent set  $S$

# $k$ Independent Set

- Independent set:  $S \subseteq V$  is an independent set if no two nodes in  $S$  share an edge
- $k$  Independent Set Problem: Given a graph  $G = (V, E)$  and a number  $k$ , **determine whether there is an independent set  $S$  of size  $k$**

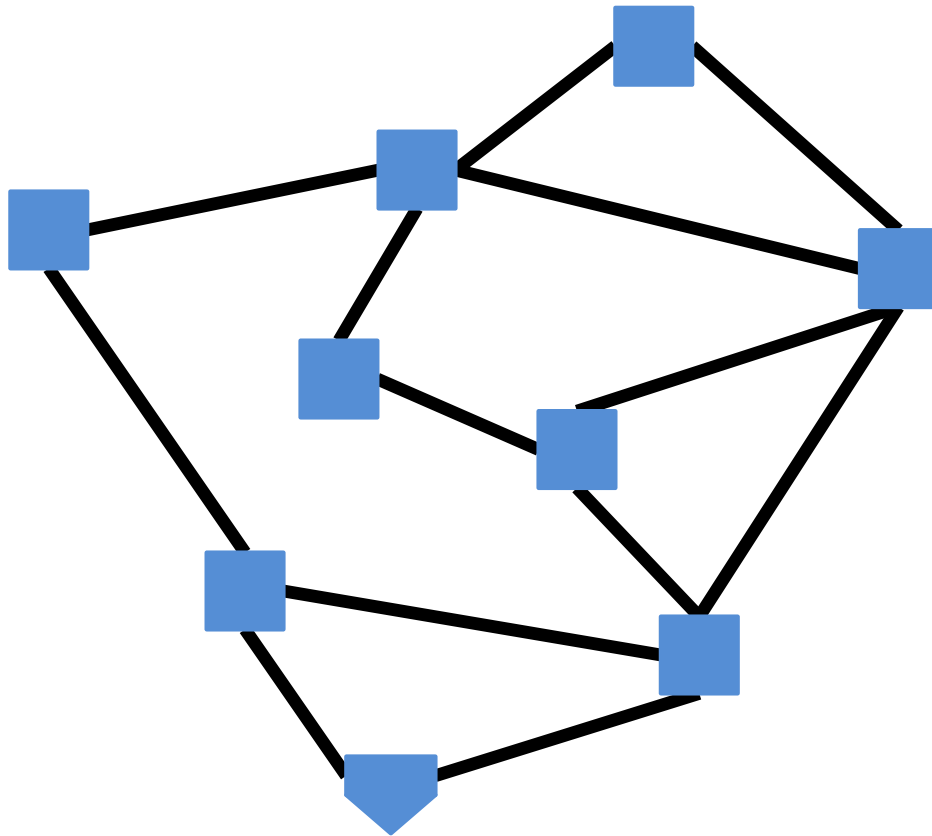
# Min Vertex Cover



Find the smallest set of nodes which covers every edge



# $k$ Vertex Cover



Is there a set of nodes of size  $k$  which covers every edge?

# Minimum Vertex Cover

- Vertex Cover:  $C \subseteq V$  is a vertex cover if every edge in  $E$  has one of its endpoints in  $C$
- Minimum Vertex Cover: Given a graph  $G = (V, E)$  find the minimum vertex cover  $C$

## $k$ Vertex Cover

- Vertex Cover:  $C \subseteq V$  is a vertex cover if every edge in  $E$  has one of its endpoints in  $C$
- $k$  Vertex Cover: Given a graph  $G = (V, E)$  and a number  $k$ , **determine whether there is a vertex cover  $C$  of size  $k$**

# Problem Types

- Decision Problems:
    - Is there a solution?
      - Output is True/False
    - Is there a vertex cover of size  $k$ ?
  - Optimal Value Problems
    - E.g. What's the min  $k$  for  $k$ -vertex cover problem?
  - Search Problems:
    - Find a solution
      - Output is complex
    - Give a vertex cover of size  $k$
  - Verification Problems:
    - Given a potential solution, is it valid?
      - Output is True/False
    - Is **this** a vertex cover of size  $k$ ?
- If we can solve this
- Then we can solve this
- and this

# Using a $k$ -VertexCover decider to build a searcher

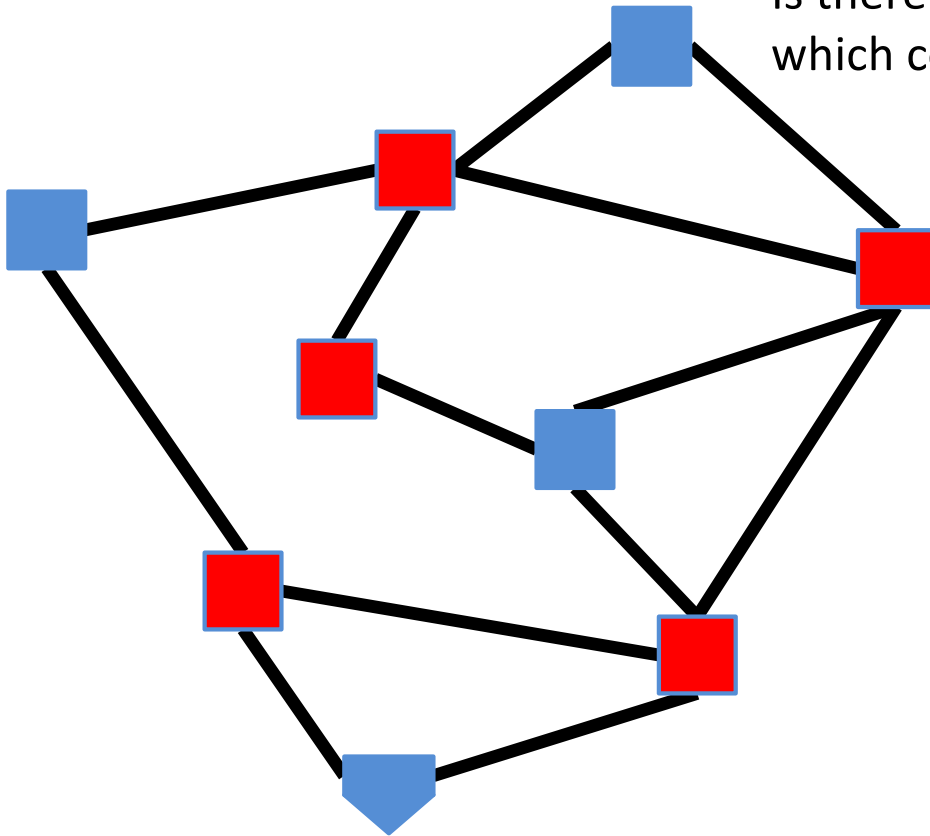
- Set  $i = k - 1$
- Remove nodes (and incident edges) one at a time
- Check if there is a vertex cover of size  $i$ 
  - If so, then that removed node was part of the  $k$  vertex cover, set  $i = i - 1$
  - Else, it wasn't

Did I need this node to cover its edges to have a vertex cover of size  $k$ ?

# 5 Vertex Cover (Decision)

Is there a set of nodes of size 5  
which covers every edge?

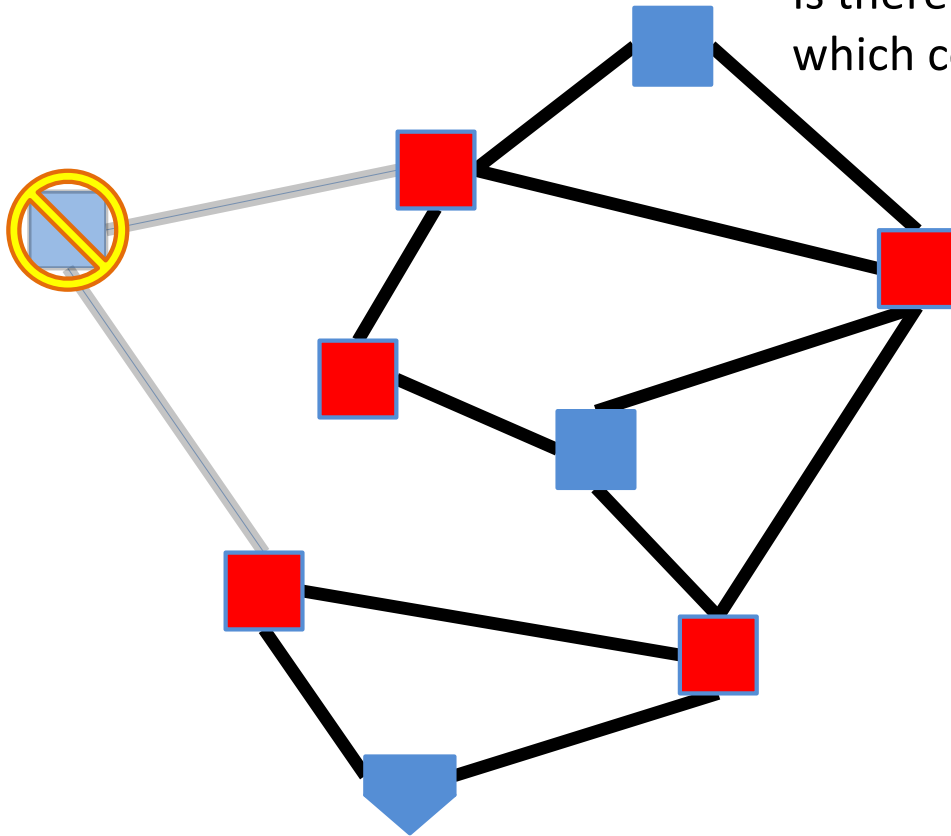
Yes!



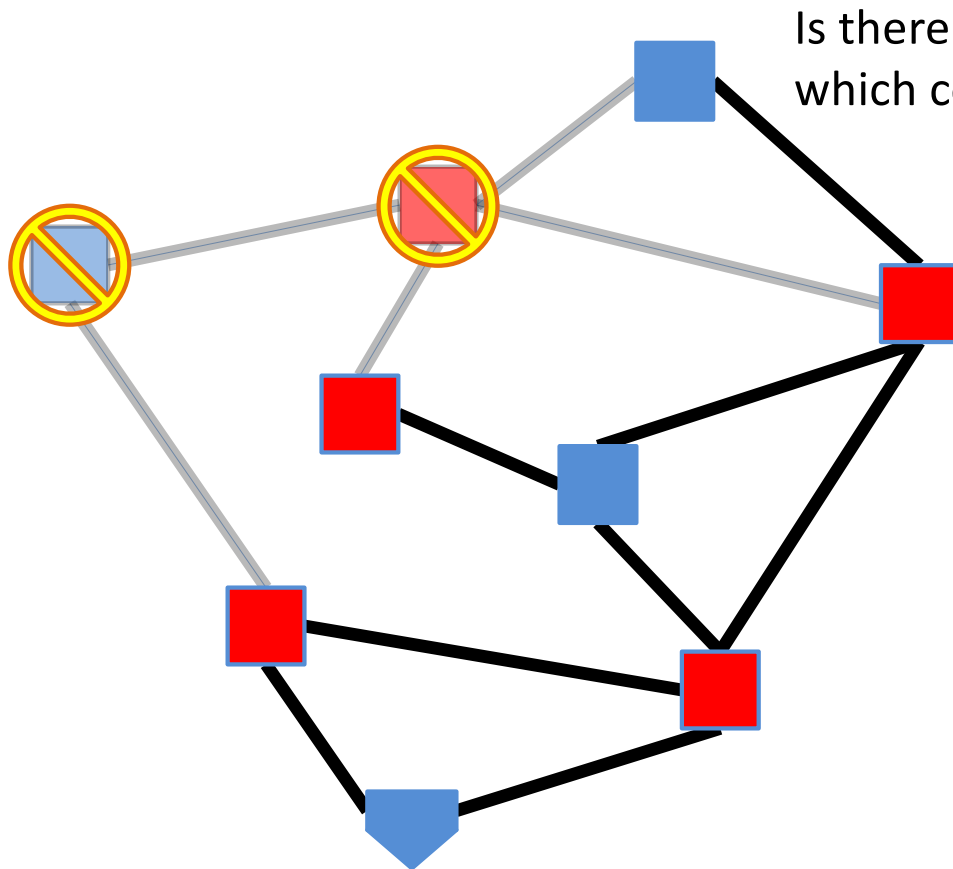
# 4 Vertex Cover (Decision)

Is there a set of nodes of size 4 which covers every edge?

No!



# 4 Vertex Cover (Decision)



Is there a set of nodes of size 4 which covers every edge?

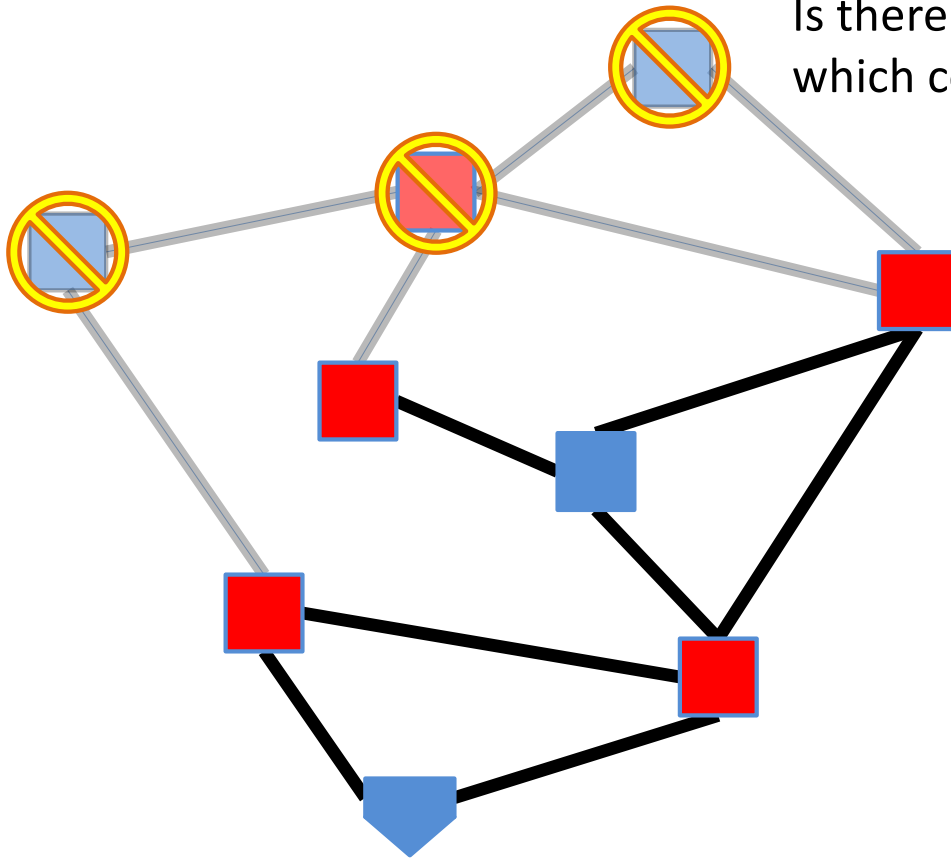
Yes!



# 3 Vertex Cover (Decision)

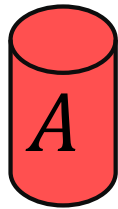
Is there a set of nodes of size 3 which covers every edge?

No!



# Reduction

$k$ -VertexCover Solver



Solution for  $A$



Remove a node, etc...

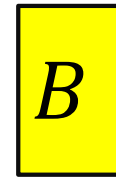


Relate Solutions of problem  $B$  to Solutions of  $A$

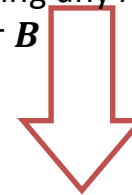


Reduction

$k$ -VertexCover Decider



Using any Algorithm  
for  $B$

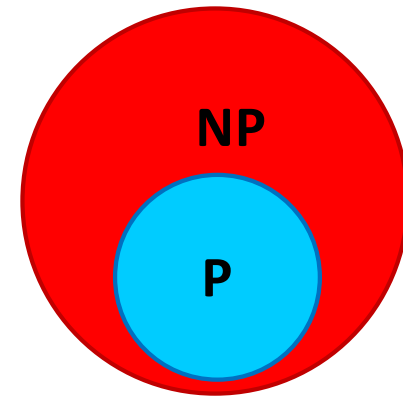


Solution for  $B$



# P vs NP

- P
  - Deterministic Polynomial Time
  - Problems solvable in polynomial time
    - $O(n^p)$  for some number  $p$
- NP
  - Non-Deterministic Polynomial Time
  - Problems verifiable in polynomial time
    - $O(n^p)$  for some number  $p$
- Open Problem: Does  $P=NP$ ?
  - Certainly  $P \subseteq NP$



# $k$ -Independent Set is NP

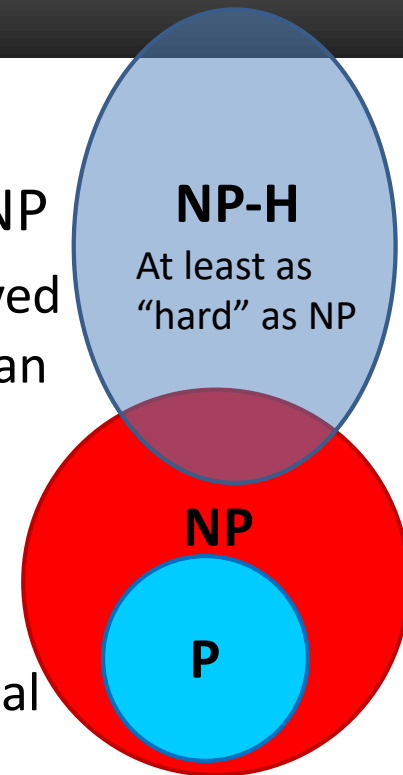
- To show: Given a potential solution, can we **verify** it in  $O(n^p)$ ? [ $n = V + E$ ]

How can we verify it?

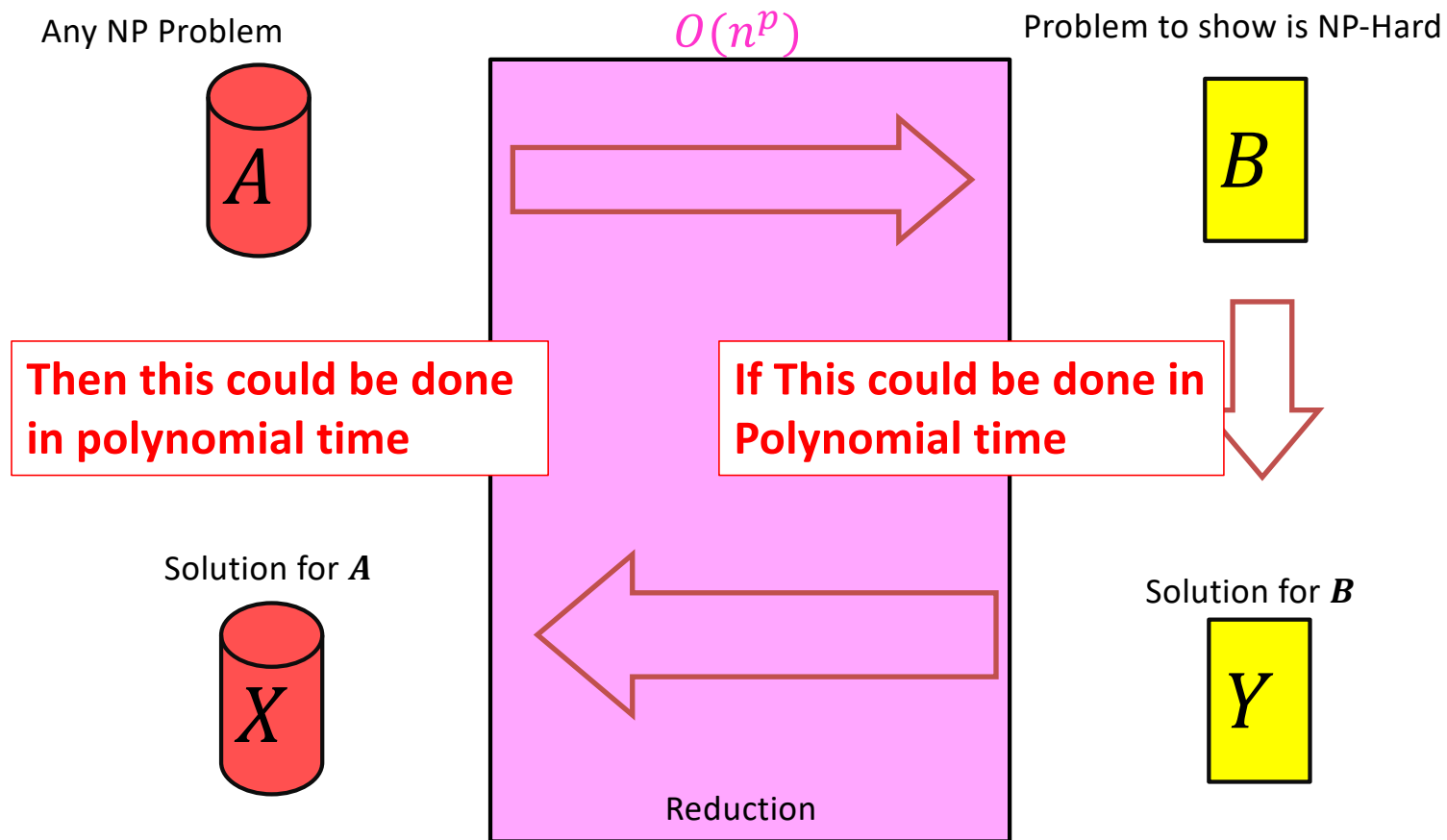
1. Check that it's of size  $k$ ? Takes  $O(V)$
2. Check that it's an independent set? Takes  $O(V^2)$

# NP-Hard

- How can we try to figure out if  $P=NP$ ?
- Identify problems at least as “hard” as NP
  - If any of these “hard” problems can be solved in polynomial time, then all NP problems can be solved in polynomial time.
- Definition: NP-Hard:
  - $B$  is NP-Hard if  $\forall A \in NP, A \leq_p B$
  - $A \leq_p B$  means  $A$  reduces to  $B$  in polynomial time

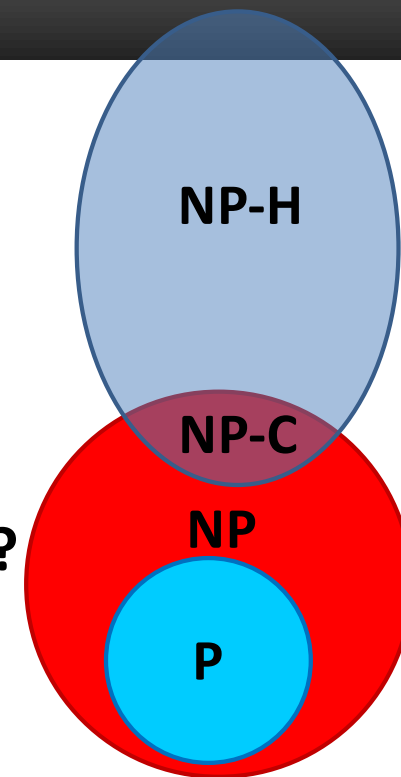


# NP-Hardness Reduction



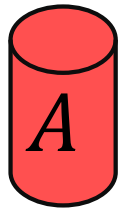
# NP-Complete

- “Together they stand, together they fall”
  - Problems solvable in polynomial time iff ALL NP problems are
  - NP-Complete =  $NP \cap NP\text{-Hard}$
  - **How to show a problem is NP-Complete?**
    - Show it belongs to NP
      - Give a polynomial time verifier
    - Show it is NP-Hard
      - Give a reduction from another NP-H problem
- We now just need a FIRST NP-Hard problem**



# NP-Completeness

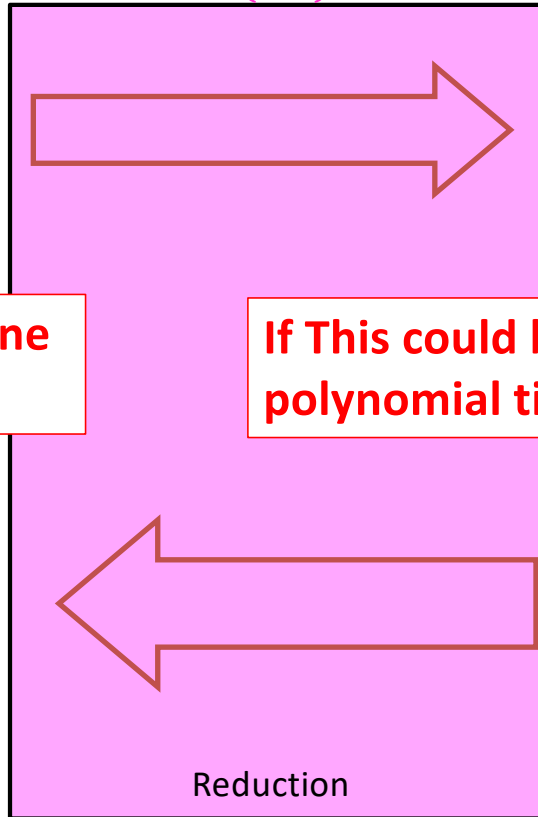
Any NP-Complete Problem



Any other NP-Complete Problem



$O(n^p)$



Then this could be done in polynomial time

If This could be done in polynomial time



Solution for *A*



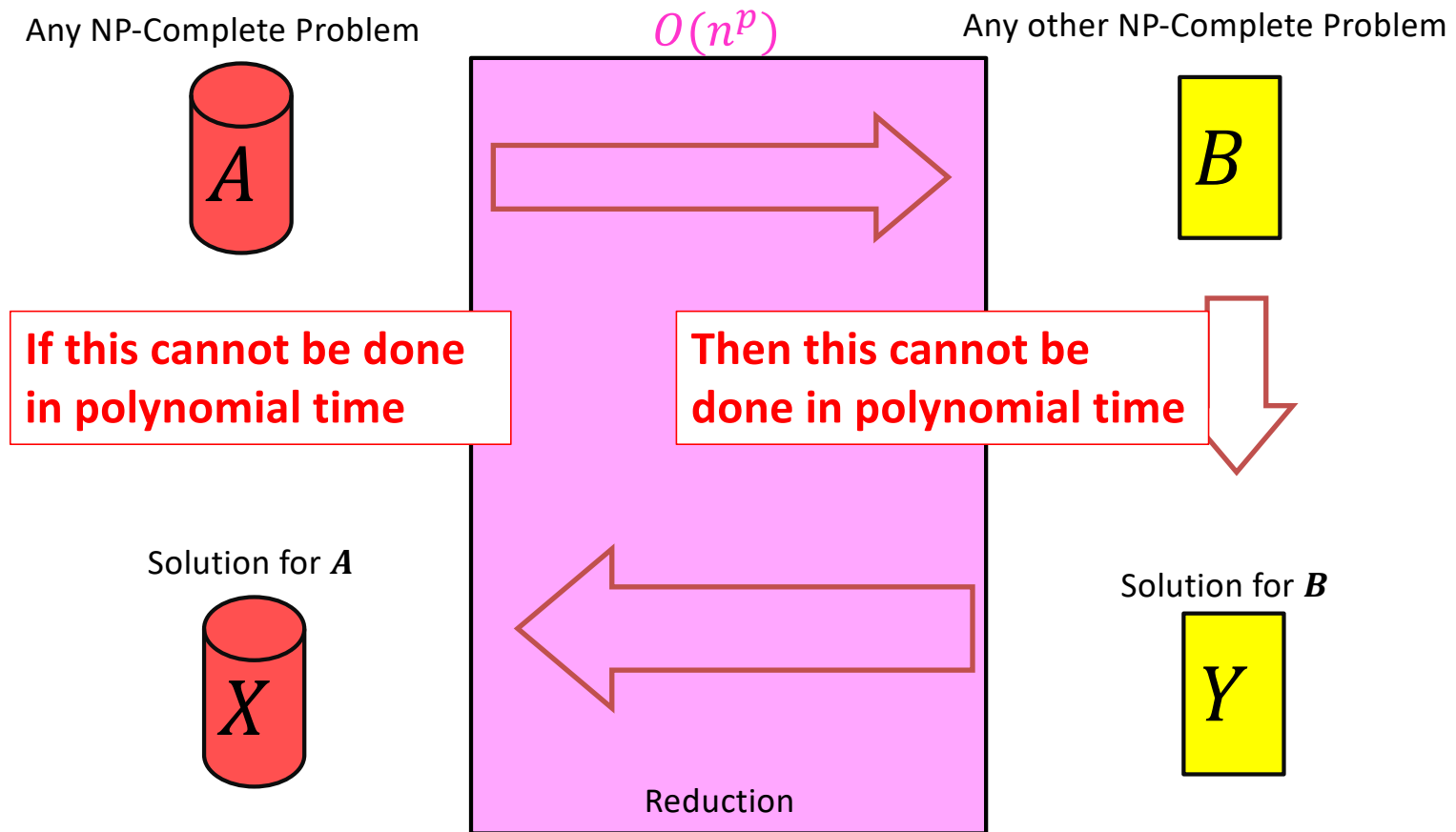
Solution for *B*



Reduction



# NP-Completeness



# Wrap Up

- Reductions used to show “hardness” relationships between problems
- Intractable problems often reduce to each other
- Starting to define “classes” of problems based on complexity issues
  - P are problems that can be solved in polynomial time
  - NP are problems where a solution can be verified in polynomial time
  - NP-hard are problems that are at least as hard as anything in NP
  - NP-complete are NP-hard problems that “stand or fall together”