

#### **Reminder Warm-Up**

Compare f(n + m) with f(n) + f(m)When f(n) = O(n)When  $f(n) = \Omega(n)$ 

# $f(n) \in O(n)$



 $f(n+m) \le f(n) + f(m)$ 



# $f(n) \in \Theta(n)$



#### **Guess** the solution to this recurrence:

$$T(n) = T\left(\frac{n}{5}\right) + T\left(\frac{7n}{10}\right) + c \cdot n$$
  
where  $c \ge 1$   
is a constant

$$T(n) = T(n/5) + T(7n/10) + c \cdot n$$
  
$$\frac{n}{5} + \frac{7n}{10} = \frac{9n}{10} < n$$
  
If this was  $T\left(\frac{9n}{10}\right)$ , then can use Master's Theorem to conclude  $\Theta(n)$ 

#### **Guess:** $\Theta(n)$

Suffices to show O(n) since non-recursive cost is already  $\Omega(n)$ 

$$T(n) = T(n/5) + T(7n/10) + c \cdot n$$

Claim:  $T(n) \leq 10cn$ 

**Base Case:** T(0) = 0 $T(1) = c \le 10c$  which is true since  $c \ge 1$ 

Strictly speaking, we can handle any c > 0, but assuming  $c \ge 1$  to simplify the analysis here

$$T(n) = T(n/5) + T(7n/10) + c \cdot n$$

**Inductive hypothesis:**  $\forall n \leq x_0 : T(n) \leq 10cn$ 

Inductive step:

$$T(x_0 + 1) = T\left(\frac{1}{5}(x_0 + 1)\right) + T\left(\frac{7}{10}(x_0 + 1)\right) + c(x_0 + 1)$$
$$\leq \left(\frac{1}{5} + \frac{7}{10}\right) 10c(x_0 + 1) + c(x_0 + 1)$$
$$= 9c(x_0 + 1) + c(x_0 + 1) = 10c(x_0 + 1)$$

# Today's Keywords

- Divide and Conquer
- Strassen's Algorithm
- Sorting
- Quicksort

## CLRS Readings

- Chapter 7
- Chapter 9

#### Homeworks

- HW3 due 11pm tomorrow
  - Programming (use Python or Java!)
  - Divide and conquer
  - Closest pair of points
- HW4 coming soon
  - Written, using LaTeX

# Review: Quicksort

Idea: pick a pivot element, recursively sort two sublists around that element

- Divide: select pivot element p, Partition(p)
- Conquer: recursively sort left and right sublists
- Combine: Nothing!

#### Partition (Divide step)

#### Given: a list, a pivot p

Start: unordered list

8	5	7	3	12	10	1	2	4	9	6	11
---	---	---	---	----	----	---	---	---	---	---	----

Goal: All elements < p on left, all > p on right

5	7	3	1	2	4	6	8	12	10	9	11

## Partition Summary

- 1. Put *p* at beginning of list
- 2. Put a pointer (Begin) just after *p*, and a pointer (End) at the end of the list
- 3. While Begin < End:
  - 1. If Begin value < p, move Begin right
  - 2. Else swap Begin value with End value, move End Left
- 4. If pointers meet at element : Swap <math>p with pointer position
- Else If pointers meet at element > p: Swap p with value to the left

Run time? O(n)



Exactly where it belongs!

Recursively sort Left and Right sublists

## Quicksort Run Time (Best)

If the pivot is always the median:

2	5	1	3	6	4	7	8	10	9	11	12
---	---	---	---	---	---	---	---	----	---	----	----

2	1	3	5	6	4	7	8	9	10	11	12
---	---	---	---	---	---	---	---	---	----	----	----

Then we divide in half each time

 $T(n) = 2T\left(\frac{n}{2}\right) + n$  $T(n) = O(n\log n)$ 

## Quicksort Run Time (Worst)

#### If the pivot is always at the extreme:

1	5	2	3	6	4	7	8	10	9	11	12
---	---	---	---	---	---	---	---	----	---	----	----

Then we shorten by 1 each time

T(n) = T(n-1) + n

 $T(n) = O(n^2)$ 

# How to pick the pivot?

CLRS, Chapter 9

# Good Pivot

- What makes a good Pivot?
  - Roughly even split between left and right
  - Ideally: median
- Can we find median in linear time?
  - Yes!
  - Quickselect

#### Quickselect

- Finds *i*<sup>th</sup> order statistic
  - $-i^{\text{th}}$  smallest element in the list
  - 1<sup>st</sup> order statistic: minimum
  - $-n^{\text{th}}$  order statistic: maximum
  - $-\frac{n_{\rm th}}{2}$  order statistic: median
- CLRS, Section 9.1
  - Selection problem: Give list of distinct numbers and value *i*, find value *x* in list that is larger than exactly *i*-1 list elements

## Quickselect

Idea: pick a pivot element, partition, then recurse on sublist containing index *i* 

- Divide: select an element p, Partition(p)
- Conquer: if i = index of p, done!
  - if i < index of p recurse left. Else recurse right
- Combine: Nothing!

## Partition (Divide step)

Given: a list, a pivot value p

#### Start: unordered list

8	5	7	3	12	10	1	2	4	9	6	11
---	---	---	---	----	----	---	---	---	---	---	----

Goal: All elements < p on left, all > p on right

5	7	3	1	2	4	6	8	12	10	9	11
---	---	---	---	---	---	---	---	----	----	---	----



Exactly where it belongs!

Recurse on sublist that contains index *i* (adjust *i* accordingly if recursing right)

## CLRS Pseudocode

RANDOMIZED-SELECT(A, p, r, i)

```
if p == r
1
       return A[p]
2
3 q = \text{RANDOMIZED-PARTITION}(A, p, r)
  k = q - p + 1 // number of elements on left-side of pivot
4
                    II the pivot value is the answer
5
  if i == k
       return A[q]
6
   elseif i < k
7
8
       return RANDOMIZED-SELECT(A, p, q - 1, i)
   else return RANDOMIZED-SELECT(A, q + 1, r, i - k)
9
                                                // note adjustment to next call's i
```

Note: In CLRS, they're using a partition that randomly chooses the pivot element. That's why you see "Randomized" in the names here. Ignore that for the moment.

#### Work These Examples!

- For each of the following calls, show
  - The value of q after each partition,
  - Which recursive calls made
  - 1. Select( [3, 2, 9, 0, 7, 5, 6, 1], p=0, r=7, i=2)
  - 2. Select( [3, 2, 9, 0, 7, 5, 6, 1], p=0, r=7, i=5)
  - 3. Select( [3, 2, 9, 0, 7, 5, 6, 1], p=0, r=7, i=7)

#### Quickselect Run Time

If the pivot is always the median:

2	5	1	3	6	4	7	8	10	9	11	12
---	---	---	---	---	---	---	---	----	---	----	----

2	1	3	5	6	4	7	8	9	10	11	12
---	---	---	---	---	---	---	---	---	----	----	----

Then we divide in half each time

$$S(n) = S\left(\frac{n}{2}\right) + n$$
$$S(n) = O(n)$$

#### Quickselect Run Time

#### If the partition is always unbalanced:

1	5	2	3	6	4	7	8	10	9	11	12
---	---	---	---	---	---	---	---	----	---	----	----

Then we shorten by 1 each time

S(n) = S(n-1) + n

 $S(n) = O(n^2)$ 

#### Good Pivot

- What makes a good Pivot?
  - Déja vu? Roughly even split between left and right
  - Ideally: median
- Here's what's next:  $\bullet$ 
  - An algorithm that can find the median in linear time
    - 1. It starts by finding a pivot that is a "rough" split (Median of Medians)
    - 2. Uses that pivot with Quickselect shown earlier to recursively find median
      - (Recall that Quickselect shown earlier used first element to do Partition. Now use the pivot value found in step 1.)

## Good Pivot

• What makes a good Pivot?



## Median of Medians

- Fast way to select a "good" pivot
- Guarantees pivot is greater than 30% of elements and less than 30% of the elements
- Idea: break list into chunks, find the median of each chunk, use the median of those medians
- CLRS, pp. 220-221

## Median of Medians

1. Break list into chunks of size 5



2. Find the median of each chunk (using insertion sort: n=5, 20 comparisons)



3. Return median of medians (using Quickselect, this algorithm, called recursively, on list of medians)



## Why is this good?

Imagine each chunk sorted, chunks ordered by their medians



## Why is this good?



## Quickselect

- Divide: select an element p using Median of Medians, Partition(p)  $M(n) + \Theta(n)$
- Conquer: if i = index of p, done, if i < index of p recurse left. Else recurse right  $\leq S\left(\frac{7}{10}n\right)$

• Combine: Nothing!  $S(n) \le S\left(\frac{7}{10}n\right) + M(n) + \Theta(n)$ 



1. Break list into chunks of 5  $\Theta(n)$ 

2. Find the median of each chunk  $\Theta(n)$ 

3. Return median of medians (using Quickselect)  $S\left(\frac{n}{5}\right)$ 

$$M(n) = S\left(\frac{n}{5}\right) + \Theta(n)$$

#### Quickselect

$$S(n) \le S\left(\frac{7n}{10}\right) + M(n) + \Theta(n)$$
$$= S\left(\frac{7n}{10}\right) + S\left(\frac{n}{5}\right) + \Theta(n)$$

... Guess and Check ...Warm Up!S(n) = O(n)S(n) =  $\Omega(n)$ Linear work done at top level (even if no recursion costs)

 $M(n) = S\left(\frac{n}{5}\right) + \Theta(n)$ 

$$S(n) = \Theta(n)$$
### Compare to 'Obvious' Approach

- An "obvious" approach to Selection Problem:
  - Given list and value *i*: Sort list, then choose *i*-th item
  - We've only seen sorting algorithms that are  $\Omega(n \log n)$
  - Later we'll show this really is a lower-bound
  - So this approach is  $\Theta(n \log n)$
- Therefore Quickselect is asymptotically better than this sorting-based solution for Selection Problem!

## Phew! Back to Quicksort

Using Quickselect, with a median-of-medians partition, we're guaranteed to use true median, so:



Then we divide in half each time

 $T(n) = 2T\left(\frac{n}{2}\right) + \Theta(n)$  $T(n) = \Theta(n\log n)$ 

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# Is it worth it?

- Using Quickselect to pick median guarantees  $\Theta(n \log n)$  run time
- Approach has very large constants
  - If you really want  $\Theta(n \log n)$ , better off using MergeSort
- Better approach: Random pivot
  - Very small constant (very fast algorithm)
  - Expected to run in  $\Theta(n \log n)$  time
    - Why? Unbalanced partitions are very unlikely
- But let's explore "very uneven partitions" in the next slides...

## Quicksort Run Time

If the pivot is always  $\frac{n_{th}}{10}$  order statistic:



$$T(n) = T\left(\frac{n}{10}\right) + T\left(\frac{9n}{10}\right) + n$$



## Quicksort Run Time

If the pivot is always  $\frac{n_{th}}{10}$  order statistic:



 $T(n) = \Theta(n \log n)$ 

## Quicksort Run Time

#### If the pivot is always $d^{th}$ order statistic:

 1
 5
 2
 3
 6
 4
 7
 8
 10
 9
 11
 12

Then we shorten by d each time T(n) = T(n - d) + n  $T(n) = O(n^2)$ What's the probability of this occurring?

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# Probability of $n^2$ run time

We must consistently select pivot from within the first d terms

Probability first pivot is among d smallest:  $\frac{d}{n}$ Probability second pivot is among d smallest:  $\frac{d}{n-d}$ 

Probability all pivots are among d smallest:

$$\frac{d}{n} \cdot \frac{d}{n-d} \cdot \frac{d}{n-2d} \cdot \dots \cdot \frac{d}{2d} \cdot 1 = \frac{1}{\left(\frac{n}{d}\right)!}$$

Worst-case, d=1, and the probability is very, very small!

- Remember, run time counts comparisons!
- Quicksort only compares against a pivot
  - Element *i* only compared to element *j* if one of them was the pivot

## Partition (Divide step)

Given: a list, a pivot value p

#### Start: unordered list

8	5	7	3	12	10	1	2	4	9	6	11	
---	---	---	---	----	----	---	---	---	---	---	----	--

Goal: All elements < p on left, all > p on right

5	7	3	1	2	4	6	8	12	10	9	11
---	---	---	---	---	---	---	---	----	----	---	----

#### What is the probability of comparing two given elements?

Consider the sorted version of the list

#### **Observation:** Adjacent elements must be compared

- Why? Otherwise I would not know which came first
- Every sorting algorithm must compare adjacent elements

In quicksort: adjacent elements <u>always</u> end up in same sublist, unless one is the pivot

What is the probability of comparing two given elements?

Consider the sorted version of the list

Pr[we compare 1 and 12] =  $\frac{2}{12}$ 

Assuming pivot is chosen uniformly at random

Only compared if 1 or 12 was chosen as the first pivot since otherwise they are in <u>different</u> sublists

What is the probability of comparing two given elements?

**Case 1:** Pivot less than *i* 

Then sublist [i, i + 1, ..., j] will be in right sublist and will be processed in future recursive invocation of Quicksort

Pr[we compare i and j] = Pr[we compare i and j in Quicksort([p + 1, ..., n])]

What is the probability of comparing two given elements?

**Case 1:** Pivot less than iThen sublist [i, i + 1, ..., j] will be processed in future recursive invo

Pr[we compare *i* and *j*] = Pr[we compare *i* and *j* in Quicksort([p + 1, ..., n])]

What is the probability of comparing two given elements?

**Case 2:** Pivot greater than *j* 

Then sublist [i, i + 1, ..., j] will be in left sublist and will be processed in future recursive invocation of Quicksort

Pr[we compare *i* and *j*] = Pr[we compare *i* and *j* in Quicksort([1, ..., p])]

What is the probability of comparing two given elements?

**Case 3.1:** Pivot contained in [i + 1, ..., j - 1]Then *i* and *j* are in different sublists and will <u>never</u> be compared

 $\Pr[\text{we compare } i \text{ and } j] = 0$ 

What is the probability of comparing two given elements?

**Case 3.2:** Pivot is either *i* or *j* Then we will always compare *i* and *j* 

Pr[we compare i and j] = 1

#### What is the probability of comparing two given elements?

Case 1: Pivot less than i

Pr[we compare *i* and *j*] = Pr[we compare *i* and *j* in Quicksort([p + 1, ..., n])] **Case 2:** Pivot greater than *j* 

Pr[we compare *i* and *j*] = Pr[we compare *i* and *j* in Quicksort([1, ..., *p*])] **Case 3:** Pivot in [i, i + 1, ..., j]Pr[we compare *i* and *j*] = Pr[*i* or *j* is selected as pivot] =  $\frac{2}{i - i + 1}$ 

Probability of comparing *i* with *j* (j > i):

dependent on the number of elements between (and including)
 *i* and *j*

$$\frac{2}{j-i+1}$$

Expected number of comparisons for Quicksort:

$$\sum_{i < j} \frac{2}{j - i + 1}$$

$$\sum_{i=1}^{n-1} \sum_{j=i+1}^{n} \frac{2}{j-i+1}$$

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Consider when i = 1



1	2	3	4	5	6	7	8	9	10	11	12
---	---	---	---	---	---	---	---	---	----	----	----

Compared if 1 or 2 are chosen as pivot (these will always be compared)

Sum so far: 
$$\frac{2}{2}$$

Consider when i = 1



1	2	3	4	5	6	7	8	9	10	11	12
---	---	---	---	---	---	---	---	---	----	----	----

Compared if 1 or 3 are chosen as pivot (but never if 2 is ever chosen)

Sum so far: 
$$\frac{2}{2} + \frac{2}{3}$$

Consider when i = 1





Compared if 1 or 4 are chosen as pivot (but never if 2 or 3 are chosen)

Sum so far: 
$$\frac{2}{2} + \frac{2}{3} + \frac{2}{4}$$

Consider when i = 1



1	2	3	4	5	6	7	8	9	10	11	12
---	---	---	---	---	---	---	---	---	----	----	----

Compared if 1 or 12 are chosen as pivot (but never if 2 -> 11 are chosen)

Overall sum: 
$$\frac{2}{2} + \frac{2}{3} + \frac{2}{4} + \frac{2}{5} + \dots + \frac{2}{n}$$

$$\sum_{i < j} \frac{2}{j - i + 1}$$

When 
$$i = 1$$
:  
 $2\left(\frac{1}{2} + \frac{1}{3} + \frac{1}{4} + \dots + \frac{1}{n}\right) < 2\left[\sum_{x=1}^{n} \frac{1}{x}\right] \quad \Theta(\log n)$ 

- Probability of comparing element *i* with element *j*:
- Pr[we compare *i* and *j*] =  $\frac{2}{j-i+1}$
- Expected number of comparisons:

$$\sum_{i=1}^{n-1} \sum_{j=i+1}^{n} \frac{2}{j-i+1} = \sum_{i=1}^{n-1} \sum_{k=1}^{n-i} \frac{2}{k+1} < 2 \sum_{i=1}^{n-1} \sum_{k=1}^{n-i} \frac{1}{k} < 2 \sum_{i=1}^{n-1} \sum_{k=1}^{n} \frac{1}{k}$$
Substitution:  

$$\frac{1}{k+1} < \frac{1}{k}$$
Given the set of the s

$$\sum_{i=1}^{n-1} \sum_{j=i+1}^{n} \frac{2}{j-i+1} = \sum_{i=1}^{n-1} \sum_{k=1}^{n-i} \frac{2}{k+1} < 2 \sum_{i=1}^{n-1} \sum_{k=1}^{n-i} \frac{1}{k} < 2 \sum_{i=1}^{n-1} \sum_{k=1}^{n} \frac{1}{k}$$
  
Substitution:  
$$\frac{1}{k+1} < \frac{1}{k}$$

**Useful fact:** 
$$\sum_{i=1}^{n} \frac{1}{i} = \Theta(\log n)$$

Intuition (not proof!):  

$$\sum_{i=1}^{n} \frac{1}{i} \approx \int_{1}^{n} \frac{1}{x} dx = \ln n$$

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$$\sum_{i=1}^{n-1} \sum_{j=i+1}^{n} \frac{2}{j-i+1} = \sum_{i=1}^{n-1} \sum_{k=1}^{n-i} \frac{2}{k+1} < 2 \sum_{i=1}^{n-1} \sum_{k=1}^{n-i} \frac{1}{k} < 2 \sum_{i=1}^{n-1} \sum_{k=1}^{n} \frac{1}{k}$$
$$= 2 \sum_{i=1}^{n-1} \Theta(\log n) = \Theta(n \log n)$$

Quicksort overall: expected  $\Theta(n \log n)$ 

# Sorting, so far

- Sorting algorithms we have discussed:
  - Mergesort  $O(n \log n)$
  - Quicksort  $O(n \log n)$
- Other sorting algorithms (will discuss):
  - Bubblesort  $O(n^2)$
  - Insertionsort  $O(n^2)$
  - Heapsort  $O(n \log n)$

Can we do better than  $O(n \log n)$ ?



#### **Mental Stretch**

Show  $\log(n!) = \Theta(n \log n)$ 

Hint: show  $n! \le n^n$ Hint 2: show  $n! \ge \left(\frac{n}{2}\right)^{\frac{n}{2}}$ 

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# $\log n! = O(n \log n)$

$$n! \le n^{n}$$
  

$$\Rightarrow \log(n!) \le \log(n^{n})$$
  

$$\Rightarrow \log(n!) \le n \log n$$
  

$$\Rightarrow \log(n!) = O(n \log n)$$

$$\log n! = \Omega(n \log n)$$

$$n! = n \cdot (n-1) \cdot (n-2) \cdot \dots \cdot \frac{n}{2} \cdot \left(\frac{n}{2}-1\right) \cdot \dots \cdot 2 \cdot 1$$

$$\vee \quad \vee \quad \vee \quad \parallel \quad \vee \quad \vee \quad \parallel$$

$$\left(\frac{n}{2}\right)^{\frac{n}{2}} = \frac{n}{2} \cdot \frac{n}{2} \cdot \frac{n}{2} \cdot \frac{n}{2} \cdot \dots \cdot \frac{n}{2} \cdot 1 \cdot \dots \cdot 1 \cdot 1$$

$$n! \ge \left(\frac{n}{2}\right)^{\frac{n}{2}}$$

$$\Rightarrow \log(n!) \ge \log\left(\left(\frac{n}{2}\right)^{\frac{n}{2}}\right)$$

$$\Rightarrow \log(n!) \ge \frac{n}{2}\log\frac{n}{2}$$

$$\Rightarrow \log(n!) \ge \Omega(n \log n)$$

## Worst Case Lower Bounds

- Prove that there is no algorithm which can sort faster than O(n log n)
- Non-existence proof!
  - Very hard to do

## Strategy: Decision Tree

- Sorting algorithms use comparisons to figure out the order of input elements
- Draw tree to illustrate all possible execution paths



## Strategy: Decision Tree

- Worst case run time is the longest execution path
- i.e., "height" of the decision tree



## Strategy: Decision Tree

- Conclusion: Worst Case Optimal run time of sorting is  $\Theta(n \log n)$ 
  - There is no (comparison-based) sorting algorithm with run time  $o(n \log n)$



# Sorting, so far

- Sorting algorithms we have discussed:
  - Mergesort  $O(n \log n)$  Optimal!
  - Quicksort  $O(n \log n)$  Optimal!
- Other sorting algorithms (will discuss):
  - Bubblesort  $O(n^2)$
  - Insertionsort  $O(n^2)$
  - Heapsort  $O(n \log n)$  Optimal!
# Speed Isn't Everything

- Important properties of sorting algorithms:
- Run Time
  - Asymptotic Complexity
  - Constants
- In Place (or In-Situ)
  - Done with only constant additional space
- Adaptive
  - Faster if list is nearly sorted
- Stable
  - Equal elements remain in original order
- Parallelizable
  - Runs faster with many computers

### Mergesort

Stable?

Yes!

(usually)

#### • Divide:

- Break *n*-element list into two lists of n/2 elements

#### • Conquer:

- If n > 1: Sort each sublist recursively
- If n = 1: List is already sorted (base case)

#### • Combine:

In Place?

No

- Merge together sorted sublists into one sorted list

Adaptive?

No

 $\frac{\text{Run Time?}}{\Theta(n \log n)}$ Optimal!

# Merge

- Combine: Merge sorted sublists into one sorted list
- We have:
  - 2 sorted lists ( $L_1$ ,  $L_2$ )
  - -1 output list ( $L_{out}$ )



## Mergesort

### • Divide: - Break *n*-element list into two lists of n/2 elements • Conquer: - If n > 1: Sort each sublist recursively - If n = 1: List is already sorted (base case) • Combine: - Merge together sorted sublists into one sorted list In Place? Adaptive? Stable? Parallelizable

In Place?	Adaptive?	Stable?	Parallelizable?
No	No	Yes!	Yes!
		(usually)	

# Mergesort

### • Divide:

- Break *n*-element list into two lists of n/2 elements

- Conquer:
  - If n > 1:
    - Sort each sublist recursively
  - If n = 1:
    - List is already sorted (base case)

### • Combine:

- Merge together sorted sublists into one sorted list

Parallelizable: Allow different machines to work on each sublist

## Mergesort (Sequential)



Run Time:  $\Theta(n \log n)$ 

# Mergesort (Parallel)





Run Time:  $\Theta(\log n)$ 

### Quicksort

- Idea: pick a partition element, recursively sort two sublists around that element
- Divide: select an element p, Partition(p)
- Conquer: recursively sort left and right sublists
- Combine: Nothing!

 $\frac{\text{Run Time?}}{\Theta(n \log n)}$  Optimal!(almost always)

