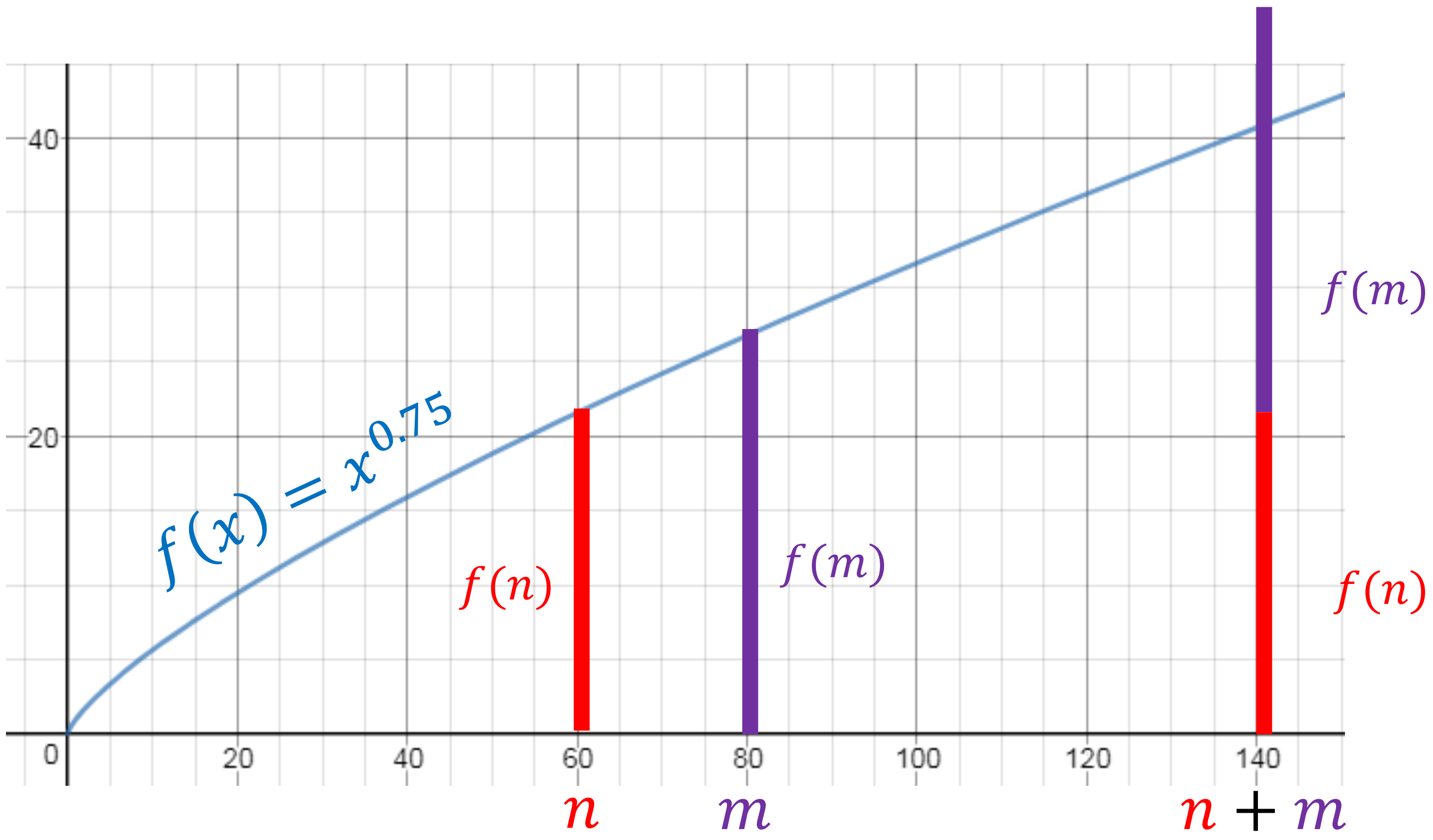
Warm up

Compare $f(n+m)$ with $f(n)+f(m)$

When $f(n)=O(n)$

When $f(n)=\Omega(n)$

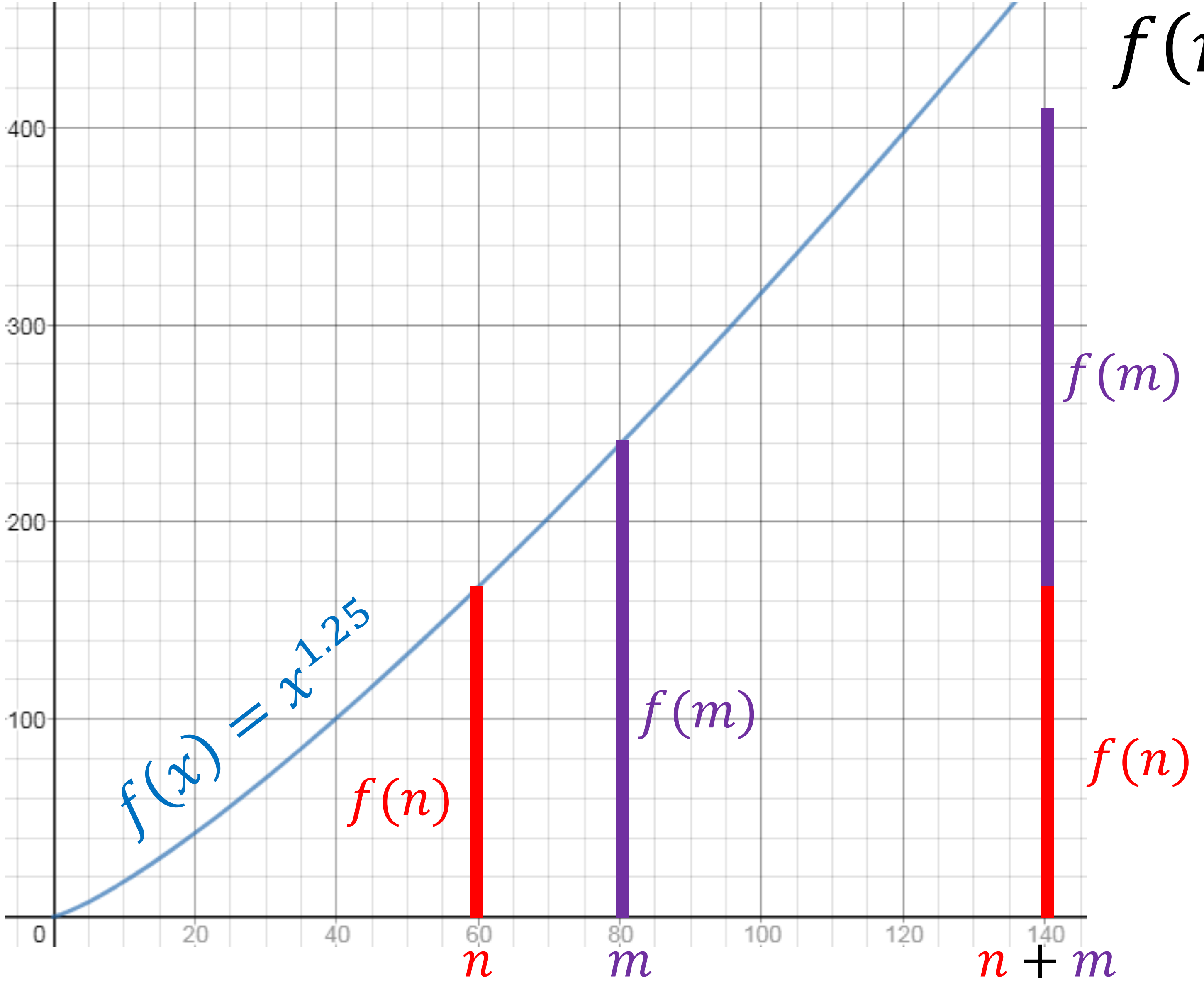
$f(n) \in O(n)$



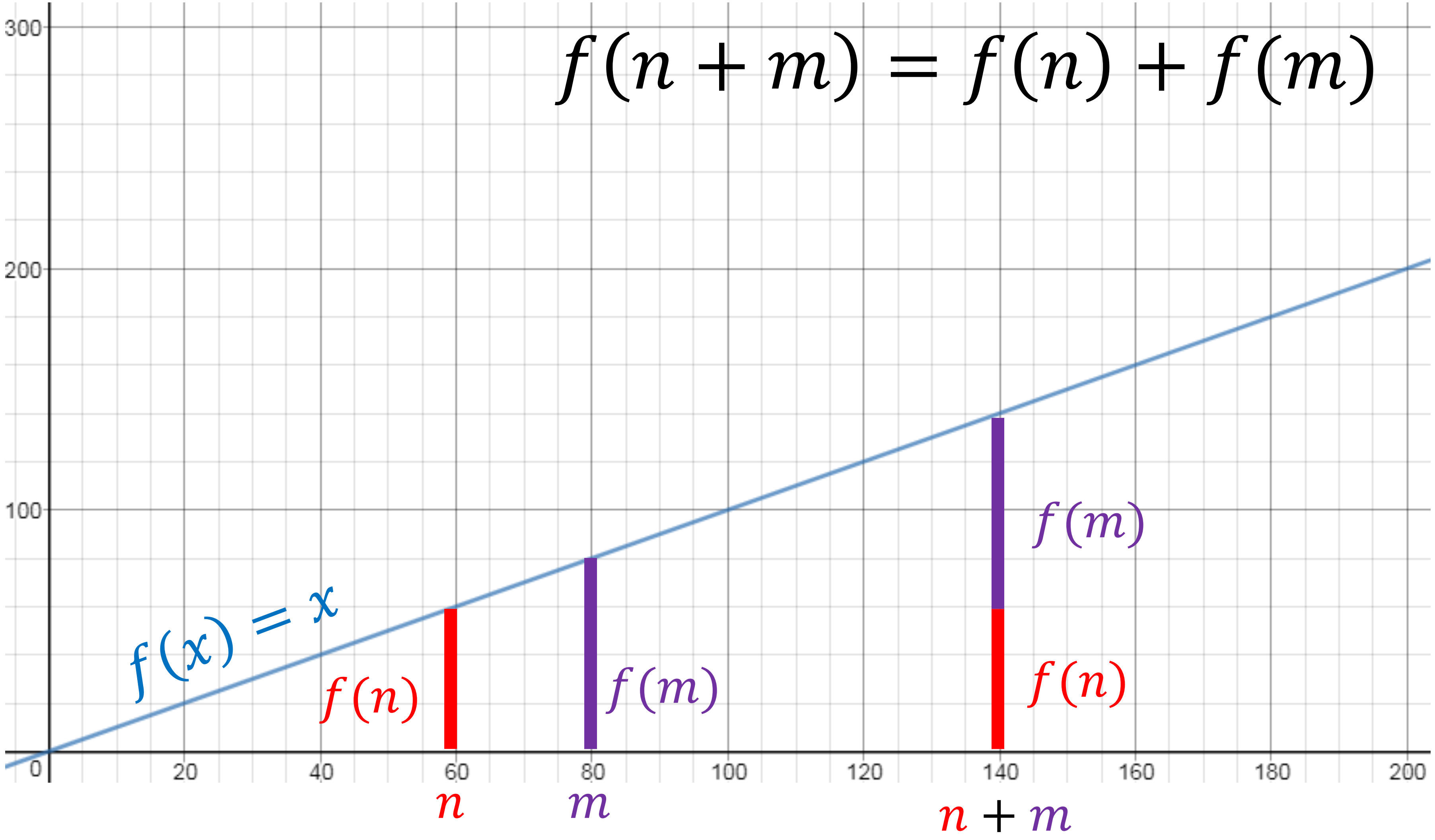
$$f(n+m) \leq f(n) + f(m)$$

$f(n) \in \Omega(n)$

$$f(n+m) \geq f(n) + f(m)$$



$f(n) = \Theta(n)$



$f(n+m) = f(n) + f(m)$
$f(x) = x$
$f(n)$
$f(m)$
$f(m)$
$f(n)$
0
20
40
60
80
100
120
140
160
180
200
100
200
300
n
m
$n+m$

Today’s Keywords

- Divide and Conquer
- Strassen’s Algorithm
- Sorting
- Quicksort

CLRS Readings

- Chapter 4
- Chapter 7

Homeworks

- HW3 due 11pm Thursday!
  - Programming (use Python or Java!)
  - Divide and conquer
  - Closest pair of points
  - Note: you will need to write a recursive function in:
    - closest_pair.py or
    - ClosestPair.java

Matrix Multiplication

$$\begin{array}{cc} & n \\ n & \begin{bmatrix} 1 & 2 & 3 \\ 4 & 5 & 6 \\ 7 & 8 & 9 \end{bmatrix} \end{array} \times \begin{bmatrix} 2 & 4 & 6 \\ 8 & 10 & 12 \\ 14 & 16 & 18 \end{bmatrix}$$

$$= \begin{bmatrix} 2+16+42 & 4+20+48 & 6+24+54 \\ \vdots & \vdots & \vdots \end{bmatrix}$$

$$= \begin{bmatrix} 60 & 72 & 84 \\ 132 & 162 & 192 \\ 204 & 252 & 300 \end{bmatrix}$$

Run time? $O(n^3)$ Lower Bound? $O(n^2)$

Matrix Multiplication D&C

Multiply $n \times n$ matrices (A and B)

Divide:

$$A = \left[\begin{array}{cc|cc} a_1 & a_2 & a_3 & a_4 \\ a_5 & a_6 & a_7 & a_8 \\ \hline a_9 & a_{10} & a_{11} & a_{12} \\ a_{13} & a_{14} & a_{15} & a_{16} \end{array}\right] \qquad B = \left[\begin{array}{cc|cc} b_1 & b_2 & b_3 & b_4 \\ b_5 & b_6 & b_7 & b_8 \\ \hline b_9 & b_{10} & b_{11} & b_{12} \\ b_{13} & b_{14} & b_{15} & b_{16} \end{array}\right]$$

Matrix Multiplication D&C

Multiply $n \times n$ matrices (A and B)

$$A = \begin{bmatrix} A_{1,1} & A_{1,2} \\ A_{2,1} & A_{2,2} \end{bmatrix} \qquad B = \begin{bmatrix} B_{1,1} & B_{1,2} \\ B_{2,1} & B_{2,2} \end{bmatrix}$$

Combine:

$$AB = \begin{bmatrix} A_{1,1}B_{1,1} + A_{1,2}B_{2,1} & A_{1,1}B_{1,2} + A_{1,2}B_{2,2} \\ A_{2,1}B_{1,1} + A_{2,2}B_{2,1} & A_{2,1}B_{1,2} + A_{2,2}B_{2,2} \end{bmatrix}$$

Run time? $T(n) = 8T\left(\frac{n}{2}\right) + 4\left(\frac{n}{2}\right)^2$

Case 1!

$T(n) = \Theta(n^3)$

Matrix Multiplication D&C

Multiply $n \times n$ matrices (A and B)

$$A = \begin{bmatrix} A_{1,1} & A_{1,2} \\ A_{2,1} & A_{2,2} \end{bmatrix} \qquad B = \begin{bmatrix} B_{1,1} & B_{1,2} \\ B_{2,1} & B_{2,2} \end{bmatrix}$$

$$AB = \boxed{\begin{bmatrix} A_{1,1}B_{1,1} + A_{1,2}B_{2,1} & A_{1,1}B_{1,2} + A_{1,2}B_{2,2} \\ A_{2,1}B_{1,1} + A_{2,2}B_{2,1} & A_{2,1}B_{1,2} + A_{2,2}B_{2,2} \end{bmatrix}}$$

Idea: Use a Karatsuba-like technique on this

Strassen's Algorithm

Multiply $n \times n$ matrices (A and B)

$$A = \begin{bmatrix} A_{1,1} & A_{1,2} \\ A_{2,1} & A_{2,2} \end{bmatrix} \qquad B = \begin{bmatrix} B_{1,1} & B_{1,2} \\ B_{2,1} & B_{2,2} \end{bmatrix}$$

Calculate:

$$Q_1 = (A_{1,1} + A_{2,2})(B_{1,1} + B_{2,2})$$
$$Q_2 = (A_{2,1} + A_{2,2})B_{1,1}$$
$$Q_3 = A_{1,1}(B_{1,2} - B_{2,2})$$
$$Q_4 = A_{2,2}(B_{2,1} - B_{1,1})$$
$$Q_5 = (A_{1,1} + A_{1,2})B_{2,2}$$
$$Q_6 = (A_{2,1} - A_{1,1})(B_{1,1} + B_{1,2})$$
$$Q_7 = (A_{1,2} - A_{2,2})(B_{2,1} + B_{2,2})$$

Find AB:

$$\begin{bmatrix} A_{1,1}B_{1,1} + A_{1,2}B_{2,1} & A_{1,1}B_{1,2} + A_{1,2}B_{2,2} \\ A_{2,1}B_{1,1} + A_{2,2}B_{2,1} & A_{2,1}B_{1,2} + A_{2,2}B_{2,2} \end{bmatrix}$$
$$=$$
$$\begin{bmatrix} Q_1 + Q_4 - Q_5 + Q_7 & Q_3 + Q_5 \\ Q_2 + Q_4 & Q_1 - Q_2 + Q_3 + Q_6 \end{bmatrix}$$

Number Mults.: 7 Number Adds.: 18

$$T(n) = 7T\left(\frac{n}{2}\right) + 18\left(\frac{n}{2}\right)^2$$

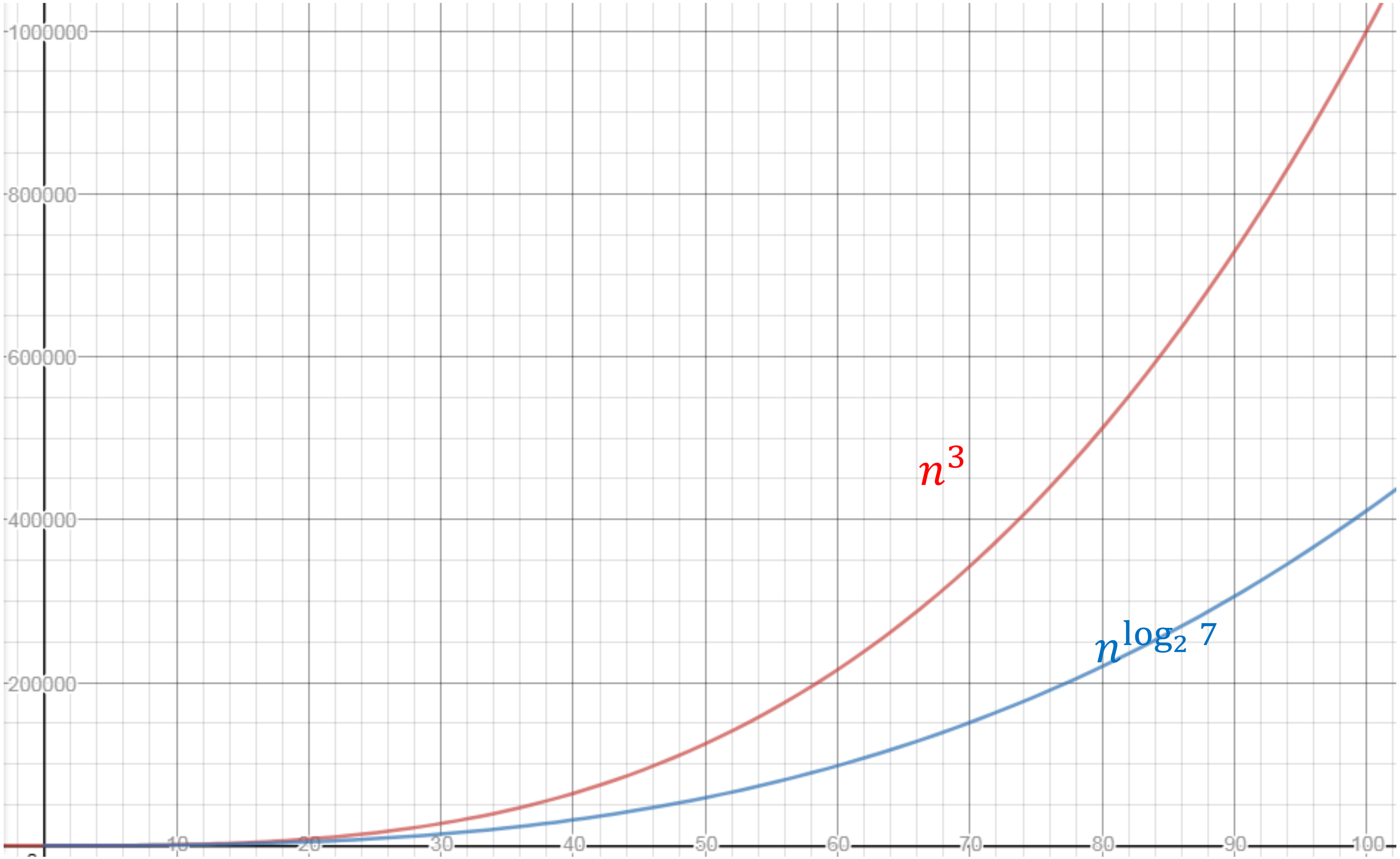
Strassen's Algorithm

$$T(n) = 7T\left(\frac{n}{2}\right) + \frac{9}{2}n^2$$

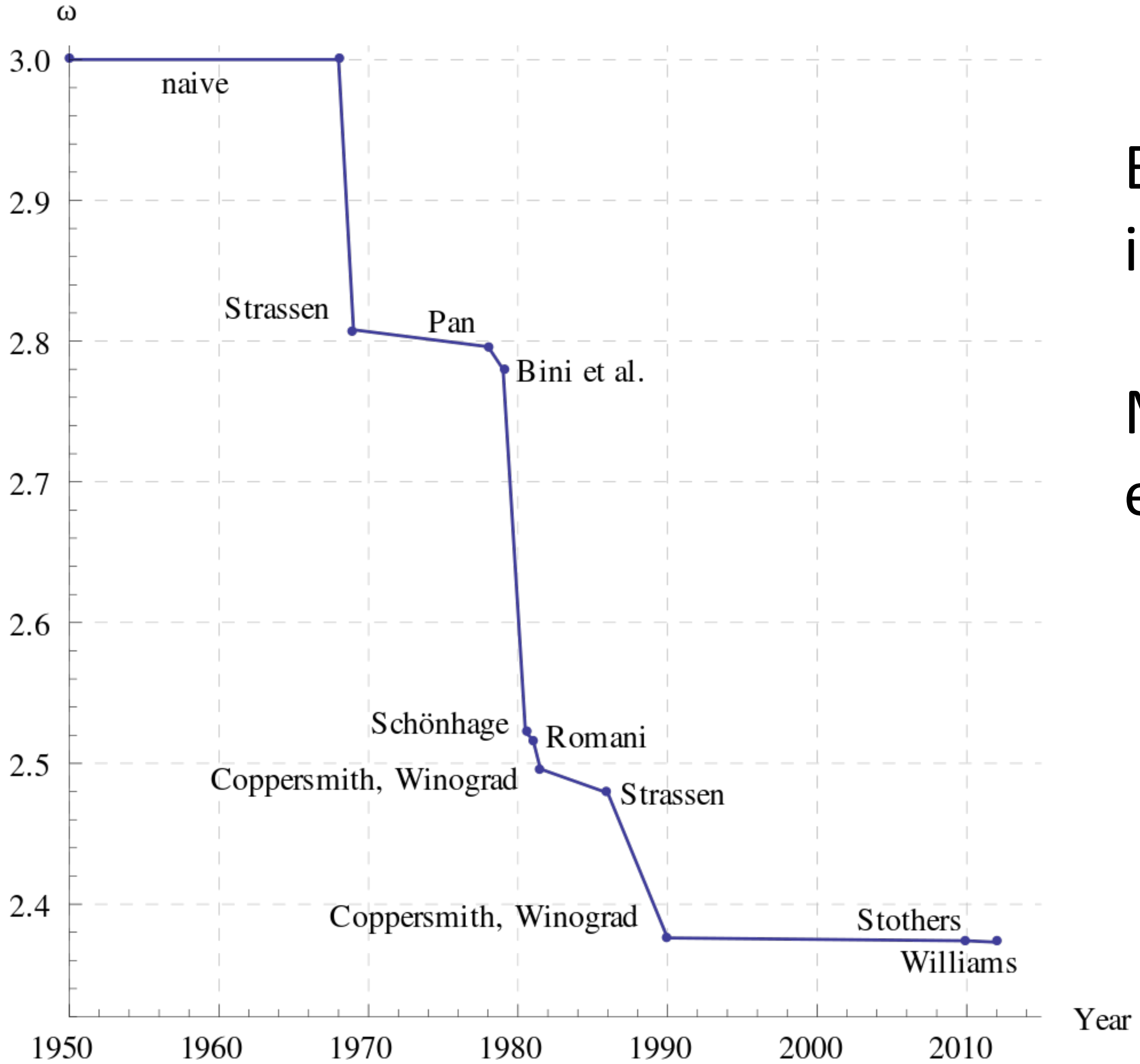
$$a = 7, b = 2, f(n) = \frac{9}{2}n^2$$

$$n^{\log_b a} = n^{\log_2 7} \approx n^{2.807}$$ Case 1!

$$T(n) = \Theta\left(n^{\log_2 7}\right) \approx \Theta(n^{2.807})$$


1000000
800000
600000
400000
200000
10
20
30
40
50
60
70
80
90
100
n^3
$n^{\log_2 7}$

Is this the fastest?



Best possible is unknown

May not even exist!

Divide and Conquer, so far

- Mergesort
- Naïve Multiplication
- Karatsuba
- Closest Pair of Points
- Naïve Matrix-Matrix Multiplication
- Strassen's

What do they have in common?

Divide: Very easy (i.e. $O(1)$)

Combine: Hard work ($\Omega(n)$)

Quicksort

- Like Mergesort:
  - Divide and conquer
  - $O(n \log n)$ run time (kind of…)
- Unlike Mergesort:
  - Divide step is the hard part
  - *Typically* faster than Mergesort

Quicksort

Idea: pick a pivot element, recursively sort two sublists around that element

- Divide: select pivot element p, Partition(p)
- Conquer: recursively sort left and right sublists
- Combine: Nothing!

Partition (Divide step)

Given: a list, a pivot p

Start: unordered list

8	5	7	3	12	10	1	2	4	9	6	11

Goal: All elements $< p$ on left, all $> p$ on right

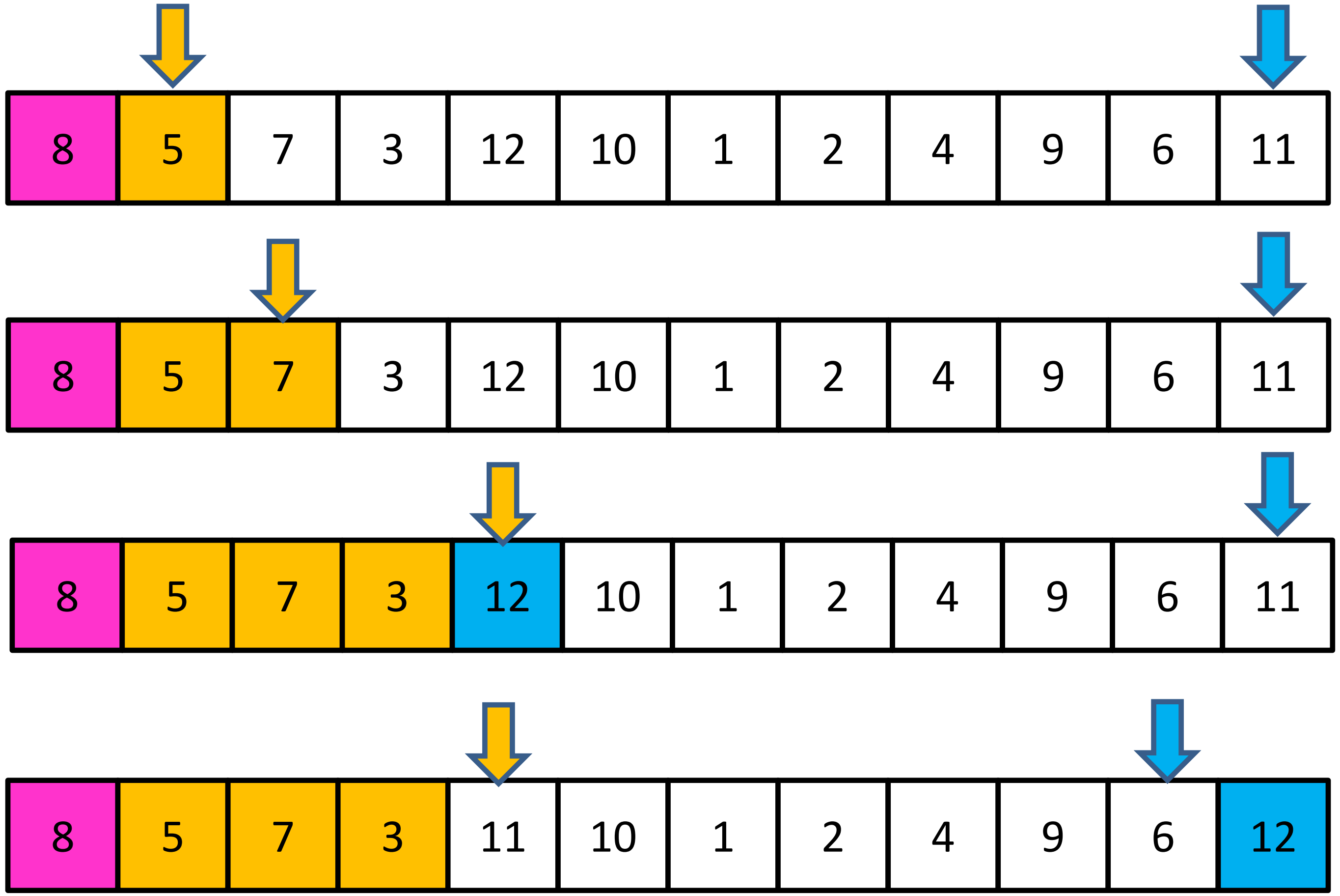
5	7	3	1	2	4	6	8	12	10	9	11

Partition, Procedure

If Begin value $< \ p$, move Begin right

Else swap Begin value with End value, move End Left

Done when Begin = End

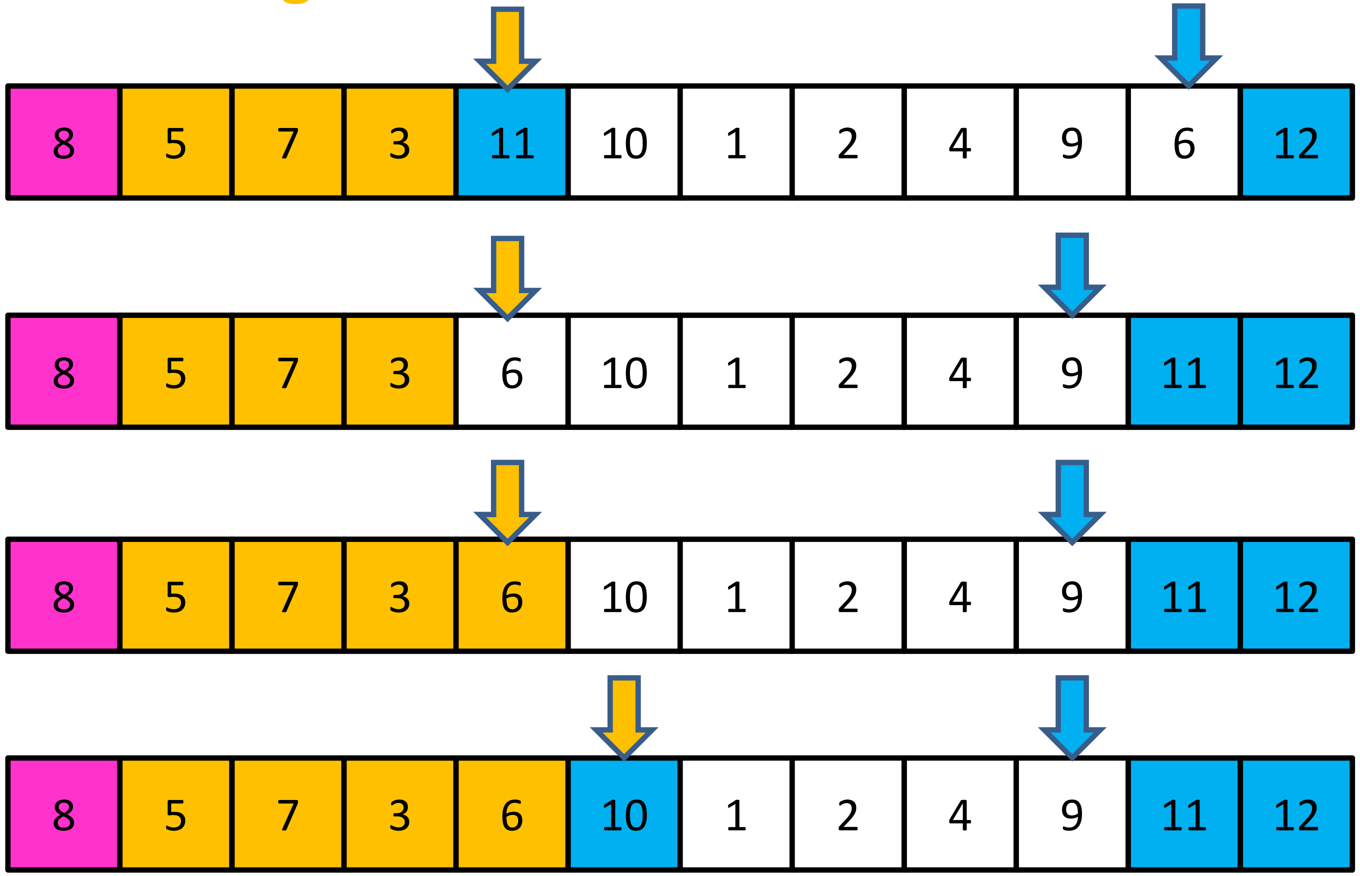


Partition, Procedure

If Begin value $< \ p$, move Begin right

Else swap Begin value with End value, move End Left

Done when Begin = End

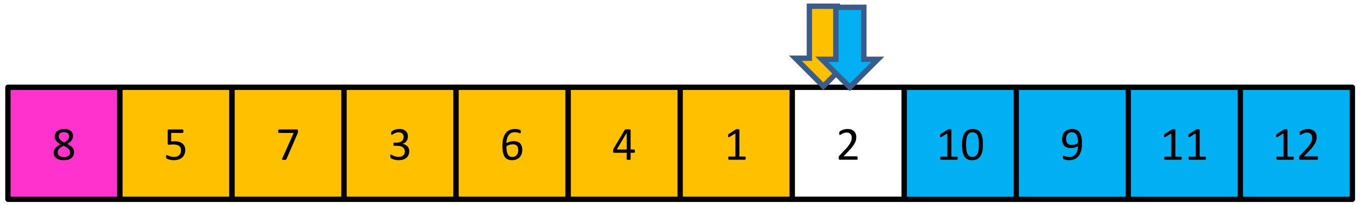


Partition, Procedure

If Begin value $<\ p$, move Begin right

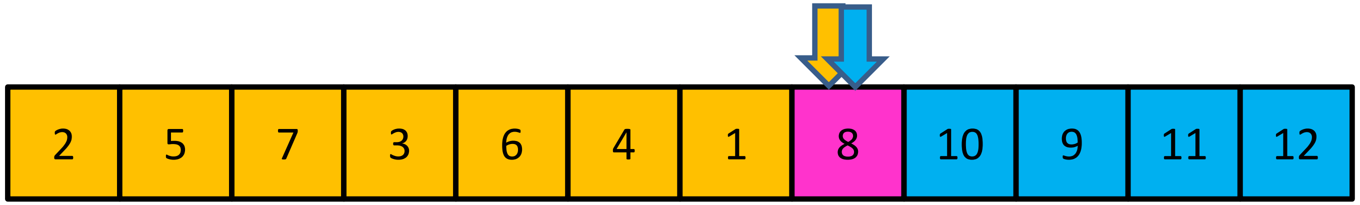
Else swap Begin value with End value, move End Left

Done when Begin = End



Case 1: meet at element $< p$

Swap p with pointer position (2 in this case)



Partition, Procedure

If Begin value $<\ p$, move Begin right

Else swap Begin value with End value, move End Left

Done when Begin = End

8	5	7	3	6	4	1	2	10	9	11	12

Case 2: meet at element $> p$

Swap p with value to the left (2 in this case)

2	5	7	3	6	4	1	8	10	9	11	12

Partition Summary

1. Put p at beginning of list
2. Put a pointer (Begin) just after p, and a pointer (End) at the end of the list
3. While Begin < End:
    1. If Begin value $< \ p$, move Begin right
    2. Else swap Begin value with End value, move End Left
4. If pointers meet at element $< p$: Swap p with pointer position
5. Else If pointers meet at element $> p$: Swap p with value to the left

Run time? $O(n)$

Conquer

2	5	7	3	6	4	1	8	10	9	11	12

All elements $< p$

All elements $> p$

Exactly where it belongs!

Recursively sort Left and Right sublists

Quicksort Run Time (Best)

If the pivot is always the median:

2	5	1	3	6	4	7	8	10	9	11	12

2	1	3	5	6	4	7	8	9	10	11	12

Then we divide in half each time

$$T(n) = 2T\left(\frac{n}{2}\right) + n$$

$$T(n) = O(n \log n)$$

Quicksort Run Time (Worst)

If the pivot is always at the extreme:

1	5	2	3	6	4	7	8	10	9	11	12

1	2	3	5	6	4	7	8	10	9	11	12

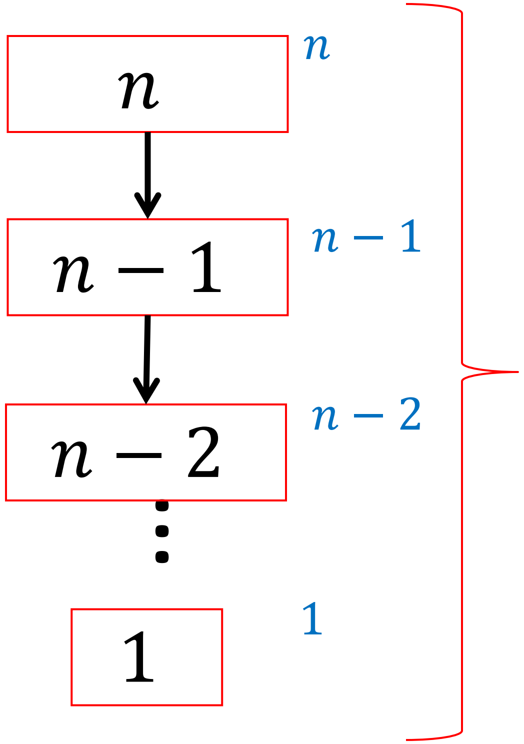
Then we shorten by 1 each time

$$T(n) = T(n-1) + n$$

$$T(n) = O(n^2)$$

Quicksort Run Time (Worst)

$$T(n) = T(n-1) + n$$



$$T(n) = 1 + 2 + 3 + \cdots + n$$

$$T(n) = \frac{n(n+1)}{2}$$

$$T(n) = O(n^2)$$

Quicksort on a (nearly) Sorted List

First element always yields unbalanced pivot

1	2	3	4	5	6	7	8	9	10	11	12

1	2	3	4	5	6	7	8	9	10	11	12

So we shorten by 1 each time

$$T(n) = T(n-1) + n$$

$$T(n) = O(n^2)$$

How to pick the pivot?

Good Pivot

- What makes a good Pivot?
  - Roughly even split between left and right
  - Ideally: median
- Can we find median in linear time?
  - Yes!
  - Quickselect

Quickselect

- Finds i^{th} order statistic
  - i^{th} smallest element in the list
  - 1^{st} order statistic: minimum
  - n^{th} order statistic: maximum
  - $\frac{n}{2}^{\text{th}}$ order statistic: median

Quickselect

- Finds i^{th} order statistic
- Idea: pick a pivot element, partition, then recurse on sublist containing index i
- Divide: select an element p, Partition(p)
- Conquer: if $i =$ index of p, done!
  - if $i <$ index of p recurse left. Else recurse right
- Combine: Nothing!

Partition (Divide step)

Given: a list, a pivot value p

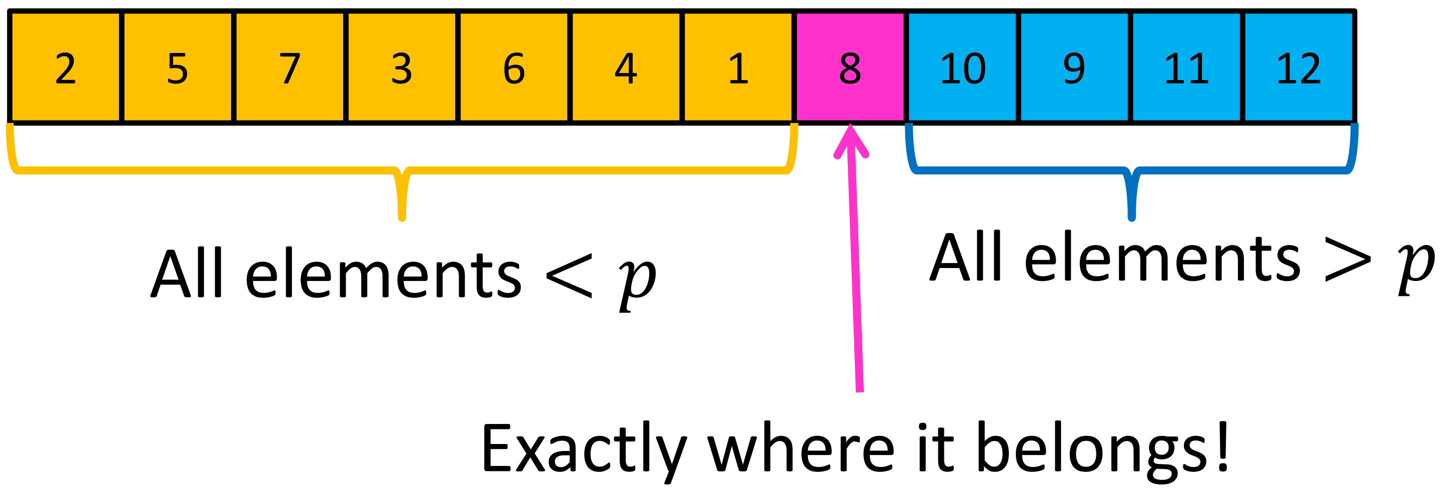
Start: unordered list

8	5	7	3	12	10	1	2	4	9	6	11

Goal: All elements $< p$ on left, all $> p$ on right

5	7	3	1	2	4	6	8	12	10	9	11

Conquer



Recurse on sublist that contains index i

(adjust i accordingly if recursing right)

Quickselect Run Time

If the pivot is always the median:

2	5	1	3	6	4	7	8	10	9	11	12

2	1	3	5	6	4	7	8	9	10	11	12

Then we divide in half each time

$$S(n) = S\left(\frac{n}{2}\right) + n$$

$$S(n) = O(n)$$

Quickselect Run Time

If the partition is always unbalanced:

1	5	2	3	6	4	7	8	10	9	11	12

1	2	3	5	6	4	7	8	10	9	11	12

Then we shorten by 1 each time

$$S(n) = S(n-1) + n$$

$$S(n) = O(n^2)$$

Good Pivot

- What makes a good Pivot?
  - Roughly even split between left and right
  - Ideally: median

Déjà vu?

- Here's what's next:
  - An algorithm for finding a "rough" split (Median of Medians)
  - This algorithm uses Quickselect as a subroutine